## (19) World Intellectual Property Organization International Bureau





(43) International Publication Date 10 April 2003 (10.04.2003)

**PCT** 

## (10) International Publication Number WO 03/029462 A1

(51) International Patent Classification<sup>7</sup>: C12N 15/12, C07K 14/47, C12N 15/62, G01N 33/50, A61K 38/17

(21) International Application Number: PCT/EP01/11213

(22) International Filing Date:

27 September 2001 (27.09.2001)

(25) Filing Language: English

(26) Publication Language: English

(71) Applicant (for all designated States except US): PIERIS PROTEOLAB AG [DE/DE]; Blumenstrasse 16, 85354 Freising-Weihenstephan (DE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): SKERRA, Arne

[DE/DE]; Max-Lehner-Strasse 18, 85354 Freising (DE). **SCHLEHUBER, Steffen** [DE/DE]; Murstr. 21, 85356 Freising (DE).

(74) Agent: VIERING, JENTSCHURA & PARTNER; Steinsdorfstr. 6, 80538 München (DE).

(81) Designated State (national): US.

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

#### Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



(54) Title: MUTEINS OF HUMAN NEUTROPHIL GELATINASE-ASSOCIATED LIPOCALIN AND RELATED PROTEINS

(57) Abstract: Disclosed is a method for generating a mutein of a protein selected from the group consisting of human neutrophil gelatinase-associated lipocalin (Hngal), RAT,  $\alpha_2$ -microglobulin-related protein (A2m) and mouse 24p3/uterocalin (24p3), said mutein having detectable affinity to a given target. The method comprises the steps of: (a) subjecting the protein to mutagenesis at one or more of the sequence positions which correspond to the sequence positions 33 to 54, 66 to 83, 94 to 106, and 123 to 136 of hNGAL, resulting in a plurality of muteins of the proteins; and (b) enriching resulting muteins having binding affinity for a given target from the plurality of muteins by selected and/or isolating said muteins. Also disclosed are muteins obtainable by this method.

# Muteins of human neutrophil gelatinase-associated lipocalin and related proteins

The present invention refers to a method for generating a mutein of human neutrophil gelatinase-associated lipocalin (hNGAL), rat  $\alpha_2$ -microglobulin-related protein (A2m) or mouse 24p3/uterocalin (24p3), wherein this mutein has detectable affinity to a given target and to a mutein of each of these three proteins obtainable by this method. The invention also acids encoding nucleic such muteins, refers to pharmaceutical composition comprising a mutein of invention as well as to various uses of the mutein of human qelatinase-associated lipocalin, neutrophil microglobulin-related protein (A2m) and mouse 24p3/uterocalin (24p3).

The lipocalins (Pervaiz and Brew, FASEB J. 1 (1987), 209-214) are a family of small, often monomeric secretory proteins which have been isolated from various organisms, and whose physiological role lies in the storage or in the transport of different targets, e.g. retinol or pheromones, as well as in more complex biological functions such as taste and olfaction or prostaglandin synthesis (reviewed, e.g., by Flower, Biochem. J. 318 (1996), 1-14). The lipocalins bear relatively little mutual sequence similarity and their relationship as a protein structural family was first elucidated by X-ray structural analysis (Sawyer et al., Nature 327 (1987), 659).

Typical targets of lipocalins are liphophilic substances of low molecular weight such as retinoids, fatty acids, cholesterols, prostaglandins, biliverdins, pheromones, tastants, and odorants (Flower, Biochem. J. 318 (1996), 1-14; see also Kjeldsen, Biochimica et Biophysica Acta, 1482 (2000), 272-283). A typical target for lipocalins is vitamin A in the case of the retinol-binding protein (Rbp) as well as ß-lactoglobulin (Papiz et al., Nature 324 (1986), 383-385).

5

10

30

35

Antibodies, i.e. immunoglobulins, are a classical example for proteins which selectively bind targets by way of noncovalent interaction. These proteins play a crucial role as fields of biotechnology, in the bioanalytics as well as in the biological and life sciences in general. Despite manyfold needs for binding proteins in conjunction with the recognition, binding or separation of ligands/targets, almost exclusively immunoglobulins currently used for such purposes. The application of other proteins with defined ligand-binding characteristics, example the lectins, has remained restricted to special cases.

2

PCT/EP01/11213

Recently, members of the lipocalin family have become the subject of research concerning proteins having defined ligand 15 binding properties. The German Offenlegungsschrift DE 197 42 706 and the international patent publication WO 99/16873 disclose the class of anticalins<sup>®</sup>; polypeptides exhibit, like antibodies, specific binding characteristics for a given ligand (cf. also Beste et al., Proc. Natl. Acad. 20 Sci. USA, 96 (1999) 1898-1903). Anticalins® are obtainable starting from polypeptides of the lipocalin family which are mutated in those four segments that correspond to the linear polypeptide sequence sequence positions of the 25 comprising amino acid positions 28 to 45, 58 to 69, 86 to 99 and 114 to 129 of the Bilin-binding protein (Bbp).

In addition, the German patent DE 199 26 068, WO 00/75308 as well as Schlehuber et al., J. Mol. Biol. (2000), 1105-1120, describe muteins of the Bilin-binding protein such as the muteins DigA and DigA16 which specifically bind digoxigenin.

Even though the anticalin® technology has in principle been established and has presumably yielded a promising practical application in the digoxigenin-binding Bbp muteins described above, further improvements and practical applications are desirable. In view of the various potential applications for

PCT/EP01/11213

ligand or target-binding proteins in the field of life sciences, the generation of anticalins based on alternative lipocalin scaffolds would be desirable simply for the reason to have more options for practical realisation.

3

5

15

Accordingly, it is an object of the invention to provide alternative lipocalin muteins having binding affinity to a given target.

10 This object is solved by the method and the muteins with the features of the present independent claims.

Such a method is a method for generating a mutein of a protein selected from the group consisting of human neutrophil gelatinase-associated lipocalin (hNGAL), rat  $\alpha_2$ -microglobulin-related protein (A2m) and mouse 24p3/uterocalin (24p3), said mutein having detectable affinity to a given target, comprising the steps of:

- (a) subjecting the protein to mutagenesis at one or more of the sequence positions which correspond to the sequence positions 33 to 54, 66 to 83, 94 to 106, and 123 to 136 of hNGAL, resulting in a plurality of muteins of the protein; and
- (b) enriching resulting muteins having binding affinity 25 for a given target from the plurality of muteins by selection and/or isolating said mutein.

This means that the present invention is based on the finding that the human neutrophil gelatinase-associated lipocalin (hNGAL) and the homologous proteins thereof from rat (A2m) and mouse (24p3) provide suitable scaffolds for the generation of proteins having binding activity to a given target of interest. hNGAL has been identified as a member of the lipocalin family and its three dimensional structure has been solved by NMR (Coles et al., J. Mol. Biol. 289 (1999), 139-157) and by X-ray crystallography (Goetz et al., Biochemistry, 39 (2000), 1935-1941), but up to now neither

5

10

15

20

25

4

its physiological function nor its natural target have been unambiguously identified (Kjeldsen et al., supra). Accordingly, the present invention provides for the first time a possible practical application not only for hNGAL but also for its homologues A2m and 24p3.

The amino acid positions in the proteins A2m and 24p3 which are subjected to mutagenesis in the method according to the invention are obtained from an alignment of the amino acid sequences of hNGAL, A2m, and 24p3. In the protein A2m, which has the same number of amino acid residues (178) as hNGAL, the sequence positions which are used for the mutagenesis are identical to the positions selected in hNGAL, namely sequence positions 33 to 54, 66 to 83, 94 to 106, and 123 to 136 of hNGAL. For 24p3, the corresponding sequence positions are the sequence positions 33 to 54, 66 to 85, 96 to 108, and 125 to 138. Thus, the amino acid positions which are subjected to mutagenesis are distributed across four sequence segments the three-dimensional four loops in corresponding to structure of hNGAL, A2m, and 24p3.

The number of the segments (loops) defined above which are used for mutagenesis can vary. It is not necessary to mutate all four of these loops altogether, for example in a concerted mutagenesis, but it is also possible to subject only two or three of the loops to generate a mutein having detectable affinity to a given target.

In a preferred embodiment of the method according to the invention, the respective protein, i.e. hNGAL, A2m or 24p3 is subjected to mutagenesis at one or more of the sequence positions which correspond to the sequence positions 40 to 50, 70 to 79, 101 to 103, and 125 to 132 of hNGAL. For example, if hNGAL is selected for the generation of a mutein, hNGAL is subjected to mutagenesis at one or more of the sequence positions 40 to 50, 70 to 79, 101 to 103 and 125 to 132.

5

20

25

5

In a more preferred embodiment of the method, the respective protein is subjected to mutagenesis at one or more of the sequence positions which correspond to the sequence positions 40, 42, 44, 46, 47, 49, 50, 70, 72, 73, 77, 79, 101, 102, 103, 125, 127, 128, 130, and 132 of hNGAL.

In particularly preferred embodiments, at least 10 of the sequence positions 40, 42, 44, 46, 47, 49, 50, 70, 72, 73, 77, 79, 101, 102, 103, 125, 127, 128, 130, and 132 of hNGAL or A2m or of the corresponding positions of 24p3 are subjected to mutagenesis. In the most preferred embodiment, all of these 20 sequence positions are randomized, wherein it is preferred to allow all 20 naturally occurring amino acids to be incorporated into the polypeptide sequences at these positions.

This means that the present invention is based on the finding that muteins of hNGAL or its homologues A2m and 24p3 having detectable affinity to a given target can be obtained by mutagenesis, preferably random mutagenesis, of a total of 20 amino acid residues, namely the sequence positions 40, 42, 44, 46, 47, 49, 50, 70, 72, 73, 77, 79, 101, 102, 103, 125, 127, 128, 130, and 132 of hNGAL. This finding is particularly surprising for several reasons.

As noted, a set of 20 amino acids in total is randomized, i.e. subjected to mutagenesis, in this preferred embodiment of the method of the invention, whereas a total of only 16 amino acids was mutated in WO 98/16873. When random NNS or NNK codon mutagenesis is used for the complete randomization of these 20 amino acid positions (i.e. each of the 20 natural amino acid is allowed at each of these selected 20 positions), 32<sup>20</sup> possible codon combinations exist. If 16 amino acid positions are used for the randomization, 32<sup>16</sup> possible codon combinations exist. Accordingly, increasing the number of amino acids which are subjected to random

6

mutagenesis by 4 (from 16 to 20) results in an increase by  $32^4 \approx 10^6$  in the combinatorial complexity. However, the number of mutants which can be physically realized in the corresponding DNA-based library cannot be deliberately increased due to experimental limitations and is usually restricted to a value of about  $1\cdot10^9$  to  $1\cdot10^{10}$  according to the state of the art. In one example of the present invention, a combinatorial DNA-based library containing just approximately  $1\cdot10^7$  sequence variants (muteins) was used.

10

15

30

5

Considering that the small accessible section of the combinatorial sequence space is further reduced by a factor of approximately  $10^6$ , it is surprising that it is possible at all to isolate from a combinatorial library containing just  $1 \cdot 10^7$  such muteins of hNGAL (or A2m or 24p3) which a) do not only fold into soluble proteins but b) even have a new ligand/target specificity.

In this respect it should be noted that the approach taken here is in contrast to the teachings of WO 99/16873. According to this reference it should be useful to maintain the total number of mutated amino acid positions within a single experiment as low as possible such that the collection of variants obtained by mutagenesis, i.e. the library, can in its totality or, at least in a representative selection therefrom, be realized as completely as possible.

It should finally be noted that it is also surprising that the present approach is successfully used for the production of a mutein having specific binding activity towards a protein epitope (cf. Example 5).

The present invention is also directed to a mutein of human neutrophil gelatinase-associated lipocalin, rat  $\alpha_2$ 35 microglobulin-related protein (A2m) or mouse 24p3/uterocalin (24p3) having detectable affinity to a given target, which is obtainable by mutagenesis of the respective protein at those

٠,

7

PCT/EP01/11213

sequence positions which correspond to the sequence positions 33 to 54, 66 to 83, 94 to 106, and 123 to 136 of hNGAL. Muteins are preferred which are obtainable by subjecting the respective protein to mutagenesis at the positions which correspond to the sequence positions 40 to 50, 70 to 79, 101 to 103, and 125 to 132 of hNGAL.

Preferably such a mutein carries an amino acid substitution at 5 to 10, more preferably at 8 to 12 or most preferred at 10 to 18 of the sequence positions.

In a preferred embodiment, the mutein has the amino acid sequence of SEQ ID NO: 12. This mutein is also referred to as TlpcA.

15

20

25

10

5

The muteins of the invention are able to bind the desired target with a detectable affinity, i.e. with an affinity constant of preferably at least  $10^5~\rm M^{-1}$ . Affinities lower than this are generally no longer measurable with common methods such as ELISA and are therefore of secondary importance for practical applications. Especially preferred are muteins which bind the desired target with an affinity of at least  $10^6~\rm M^{-1}$ , corresponding to a dissociation constant for the complex of 1  $\mu M$ . The binding affinity of a mutein to the desired target can be measured by the person skilled in the art by a multitude of methods, for example by fluorescence titration, by competition ELISA or by the technique of surface plasmon resonance.

The target (ligand) which is bound by the mutein can be any chemical moiety that, for example, can also be recognized and bound by an immunoglobulin. Accordingly, the target can be a chemical compound in free or conjugated form which exhibits features of an immunological hapten, a hormone such as steroid hormones or any biopolymer or fragment thereof, for example, a peptide, a protein or protein domain, a peptide, an oligodeoxynucleotide, a nucleic acid, oligo- and

polysaccharides or another macromolecule or conjugates thereof. In a preferred embodiment of the invention, the target is a protein.

The muteins of the invention can have the natural amino acid sequence of hNGAL, A2m or 24p3 outside the mutated segments, i.e. the regions of the amino acid positions 33 to 54, 66 to 83, 94 to 106 and 123 to 136 in the case of hNGAL. On the other hand, the muteins disclosed here can also contain amino acid mutations outside the positions subjected to mutagenesis compared to the wild-type protein as long as those mutations do not interfere with the binding activity and the folding of the mutein. This includes that, for example, mutations, substitutions, deletions, insertion of amino acid residues as well as N- and/or C-terminal additions can be introduced into the natural amino acid sequence of hNGAL, A2m or 24p3.

10

15

20

25

30

35

Such modifications of the amino acid sequence of the selected protein within or without the selected binding region include directed mutagenesis of single amino acid positions, for example in order to simplify the subcloning of the mutated lipocalin gene or its parts by incorporating cleavage sites for certain restriction enzymes. For example, the mutation Glu28 to His, and/or Thr145 to Ala can be introduced into the hNGAL gene in order to simplify the cloning of the mutated gene segment via two new BstXI restriction sites at these positions. Furthermore, mutations can be introduced within or without the four peptide loops in order to improve certain characteristics of the mutein of the protein chosen as scaffold, for example its folding stability or folding efficiency or its resistance to proteases.

In a preferred embodiment, for instance, Cys87 of hNGAL is exchanged to Ser or Ala, whereby its covalent crosslinking with other proteins such as gelatinase B (which might occur in *in vivo* applications of a mutein) can be prevented and its monomeric structure can be stabilized. Similarly, Cys

residues which may occur as a result of the mutagenesis and selection of the mutein of the invention are not always crucial for the binding of the given target and may be substituted by Ser or Ala in order to prevent covalent bond formation or oxidation of the thiol group.

In a preferred mutein of hNGAL, Cys87 is substituted and/or the mutein carries one or both of the amino acid substitution Glu(28) -> His, Thr(145) -> Ala compared to hNGAL. In this respect, it should be noted that the present invention is also directed to a (recombinant) hNGAL having the natural amino acid sequences in which only Cys87 has been substituted for any other suitable amino acid. This hNGAL polypeptide can be produced using the methods described here for the production of the other muteins of the inventions (see Example 4), for example by use of the vector pHNGAL7.

The method of the present invention preferably comprises (in step (b)) (i) providing as the given target a compound which is selected from the group consisting of a chemical compound in free or conjugated form which exhibits features of an immunological hapten, a peptide, a protein or another macromolecule, (ii) contacting the plurality of muteins with said target in order to allow formation of complexes between said target and muteins having binding affinity for said target, and (iii) removing muteins having no or no substantial binding affinity.

No or no substantial binding affinity means under the used conditions, no complex is formed between the target and the plurality of muteins which are contacted with the target. It is clear to the person skilled in the art that complex formation is dependent on many factors such as concentration of the binding partners, concentration of compounds acting as competitors, ion strength of the buffers etc. The selection and enrichment is generally carried out under conditions which will allow isolation and enrichment of muteins having

5

10

15

other words a low koff rate.

10

an affinity constant of at least  $10^5~{\rm M}^{-1}$  to the target. However, the washing and elution steps can be carried out under varying stringency. For example, if muteins having an affinity constant of at least  $10^6~{\rm M}^{-1}$  are to be isolated, washing and elution can be performed under increased stringency, i.e. more stringent conditions. A selection with respect to the kinetic characteristics is also possible. The selection can, for instance, be performed under conditions which favor complex formation of the target with muteins that show a slow dissociation from the target (receptor), or in

PCT/EP01/11213

The term "plurality" as used herein means that at least two muteins that differ from each other in their amino acid sequences are present. The upper limit of muteins generated by mutagenesis is usually restricted by the experimental conditions and is generally between  $10^7$  and  $10^{12}$ .

The term "mutagenesis" as used herein means that experimental conditions are chosen such that the amino acid 20 naturally occurring at a sequence position of hNGAL, A2m or 24p3 can be substituted by at least one amino acid that is not present at this specific position in the respective natural polypeptide sequence. The term "mutagenesis" also includes to (additionally) modify the length of sequence 25 segments by deletion or insertion of one or more amino acids. Thus, it is within the scope of the invention that, for example, one amino acid at a chosen sequence position is replaced by a stretch of three random mutations, leading to an insertion of two amino acid residues compared to the 30 length of (the respective segment) of the wild-type protein. The term "random mutagenesis" means that no predetermined single amino acid (mutation) is present at a certain sequence position but that at least two amino acids can incorporated into a selected sequence position during 35 mutagenesis with a certain probability.

11

Such experimental conditions can, for example, be achieved by incorporating codons with a degenerate base composition in the structural gene for, e.g., hNGAL at those position which are to be mutated. For example, use of the codon NNK or NNS allows incorporation of all 20 amino acids plus the amber stop codon during mutagenesis, whereas the codon VVS limits the number of possibly incorporated amino acids to 14 since it excludes the amino acids Cys, Ile, Leu, Met, Phe, Trp, Tyr, Val from being incorporated into the selected position of the polypeptide sequence; use of the codon NMS, example, restricts the number of possible amino acids to 11 at a selected sequence position since it excludes the amino acids Arg, Cys, Gly, Ile, Leu, Met, Phe, Trp, Val from being incorporated at a selected sequence position. In a preferred method of the invention, embodiment of the mutagenesis is carried out, in which at least 4, preferably 6, more preferably 8 to 12 amino acids are allowed to be incorporated into a selected sequence position of hNGAL, A2m or 24p3. In a particularly preferred embodiment, at least one sequence position is subjected to complete randomization, i.e. all 20 amino acids are allowed to be incorporated at this position during mutagenesis. From the above, it is also clear that the amino acid naturally present at a certain sequence position of the respective protein such as hNGAL can also be present in the mutein after having subjecting this position to mutagenesis.

10

15

20

25

30

35

In a preferred embodiment of the method of the invention, the target is a protein. The protein can be provided either in free or conjugated form or as a fusion protein for the selection of muteins.

In a preferred embodiment of the method of the invention, a nucleic acid coding for the plurality of muteins of the respective protein selected from hNGAL, A2m and 24p3 is used. This nucleic acid results from mutagenesis and it is operably fused at the 3' end with a gene coding for the coat protein

pIII of a filamentous bacteriophage of the M13-family or for a fragment of this coat protein, in order to select at least one mutein for the binding of the given target.

12

The nucleic acid that results from mutagenesis can 5 obtained by use of PCR. In a preferred embodiment of the method of the invention, the generation of the nucleic acid coding for the mutated segments of the respective protein comprises the following two steps. First, two nucleic acid fragments, each of which codes for a part of the mutated 10 protein are generated by PCR such that these fragments are partially overlapping. These fragments are employed with two flanking primers in a second amplification step in order to obtain the nucleic acid comprising the complete mutated structural gene (see Fig.2 and Example 1 illustrating this 15 two step procedure). Due to the overlap the full-length PCR product will be amplified in the course of this reaction, without that the addition of any additional nucleic acid is required. The two fragments can be obtained with a pair or pairs of suitable primers in two separate amplification 20 reactions (see also Fig.2 and Example 1, which shows that such two fragments are generated in PCR reactions A and B).

For some applications, it is useful to employ the inventive mutein of hNGAL, A2m or 24p3 in a labelled form. Accordingly, 25 the invention also refers to mutein of the each of the three proteins used as scaffold here which is which is conjugated to a label selected from the group consisting of enzyme label, radioactive label, fluorescent label, chromogenic label, luminescent label, a hapten, biotin, digoxigenin, 30 metal complexes, metals, and colloidal gold. The mutein can also be conjugated to an organic molecule. The term "organic molecule as used in the present application preferably means an organic molecule comprising at least two carbon atoms, but not more than 7 rotatable carbon bonds having a molecular 35 weight between 100 and 2000 Dalton, preferably 1000 Dalton and a molecule including one or two metal atoms.

5

10

15

20

25

30

35

13

PCT/EP01/11213

In general, it is possible to label the mutein with any appropriate chemical substance or enzyme, which directly or indirectly generates in a chemical, enzymatic or physical reaction a detectable compound or a signal that can be used for detection. An example for a physical reaction is the emission of fluorescence after excitation with radiation or the emission of X-rays by a radioactive label; alkaline phosphatase, horseradish peroxidase or ß-galactosidase are examples of enzyme labels which catalyse the formation of chromogenic (colored) compounds which can then be detection. In general all labels which are used for antibodies, except those which exclusively used with the sugar moiety in the Fc part of immunoglobulins can also be used for conjugation to the muteins of the present invention. These conjugates can be prepared by means of methods known to the person skilled in the art.

One option which is particularly advantageous for practical applications of the muteins disclosed here, is the use of the muteins in the form of fusion proteins. In preferred embodiments of such a fusion protein an enzyme, a protein or a protein domain, a peptide, for example a peptide such as a signal sequence and/or an affinity tag is operably fused to the amino terminus or to the carboxy terminus of the mutein.

suitable to confer can be fusion partner The characteristics on the mutein, for example enzymatic activity such as proteins, molecules other affinity for macromolecules or low molecular weight targets. For example, catalyse chromogenic which enzymes with fusions fluorogenic reactions (e.g. alkaline phosphatase, horseradish peroxidase, glutathione-S-transferase) or which can serve for the liberation of cytotoxic agents are possible. Further examples of fusion partners which can be advantageous in practice are binding domains such as the albumin-binding domain of protein G, protein A, antibody fragments,

5

10

15

20

25

30

35

14

PCT/EP01/11213

oligomerizing domains, toxins also muteins of orinvention or anticalins  $^{\tiny{\tiny{\scriptsize{\scriptsize 0}}}}$  with different or the same target specificity. A specific example for the latter would be a fusion protein comprising an hNGAL mutein of the present invention and the digoxigenin binding mutein DigA16 disclosed in the German Patent DE 199 26 068. Affinity tags such as the Strep-Tag® or the Strep-tag® II (Schmidt et al., J. Mol. Biol. 255 (1996), 753-766) or oligohistidine tags (e.g., His6-tags) or proteins such as glutathione-S-transferase which can be used for purification by affinity chromatography and/or for detection (e.g. using the specific affinity of the further examples streptavidin) are Strep-tag® for preferred fusion partners. Proteins with chromogenic fluorescent properties such as the green fluorescent protein (GFP) are suitable fusion partners, too.

The term fusion protein as used herein also includes muteins of the invention, for example muteins of hNGAL, that are equipped with a signal sequence. Signal sequences at the Nterminus of a polypeptide according to the invention can be suitable to direct the polypeptide to a specific cell compartment during the biosynthesis, for example into the periplasm of E.coli or to the lumen of the eukaryotic cell or into the medium surrounding the cell. In so doing, the signal sequence is cleaved by a signal peptidase. It is also possible to use other targeting or signalling sequences which are necessarily located at the N-terminus of the polypeptide and which allow the localization thereof in specific cell compartments. A preferred signal sequence for secretion into the periplasm of E.coli is the OmpA-signal sequence. A large number of further signal sequences is known in the art.

The invention is also directed to a nucleic acid molecule comprising a sequence encoding a mutein according to the invention or a fusion protein thereof. In a preferred embodiment the nucleic acid molecule comprises a nucleotide sequence encoding the mutein of SEQ ID NO. 12.

.

WO 03/029462

5

20

25

30

35

15

PCT/EP01/11213

Since the degeneracy of the genetic code permits substitutions of certain codons by other codons which specify the same amino acid and hence give rise to the same protein, the invention is not limited to a specific nucleic acid molecule but includes all nucleic acid molecules comprising a nucleotide sequence coding for a mutein with the amino acid sequence according to the present invention.

The nucleic acid molecule comprising a nucleotide sequence encoding a mutein of any of hNGAL, A2m or 24p3 as disclosed here can be operably linked to a regulatory sequence to allow expression of the nucleic acid molecule in a host cell (in vivo) or its transcription and translation in a cell-free system (in vitro).

A nucleic acid molecule such a DNA is regarded to be "capable of expressing of a nucleic acid molecule or a coding nucleotide sequence" or capable "to allow expression of a nucleotide sequence" if it contains nucleotide sequences which contain transcriptional and translational information and if such sequences are "operably linked" to nucleotide sequences which encode the polypeptide. An operable linkage is a linkage in which the regulatory DNA sequences and the DNA sequences sought to be expressed are connected in such a way as to permit gene expression. The precise nature of the regulatory regions and elements needed for gene expression may vary from organism to organism, but shall, in general, include a promoter region which, in prokaryotes for example, contains both the promoter regulatory sequence that can comprise a transcriptional region functional in a cell and a transcriptional terminating region functional in a cell. Elements used for transcription or translation are promoters, enhancers, leader sequences, transcription initiation sites and transcripton termination sites, polyadenylation signals, ribosomal binding sites such the Shine-Dalgarno sequence and the like. These regulatory sequences and/or the mutein of the

PCT/EP01/11213

invention can be part of a vector. Accordingly, the invention also refers to a vector comprising a nucleic acid sequence coding for a mutein of hNGAL, A2m or 24p3 as disclosed here.

16

In a further embodiment, the invention also relates to a 5 method for producing of a mutein of the invention or a fusion protein thereof. In this method the mutein or the fusion protein is produced starting from the nucleic acid encoding the mutein by means of genetic engineering methods in a bacterial or eukaryotic host organism and is isolated from 10 this host organism or its culture. For this purpose a suitable host cell is usually first transformed with a vector comprising a nucleic acid molecule encoding, for instance, a NGAL mutein of the invention. The host cell, which can be any prokaryotic or eukaryotic host cell is then cultured under 15 conditions which allow the biosynthesis of the polypeptide. The polypeptide is then usually recovered either from the cell or from the cultivation medium. Since each of human neutrophil gelatinase-associated lipocalin, A2m and contain one structural disulfide bond it is preferred to 20 direct the polypeptide into a cell compartment having an oxidizing thiol/disulfide redox milieu by use of a suitable signal sequence. Such an oxidizing milieu is present in the periplasm of bacteria such as E. coli or in the lumen of the endoplasm reticulum of a eukaryotic cell and usually favours 25 the correct formation of the disulfide bonds. It is, however, also possible to produce a polypeptide of the invention in the cytosol of a host cell, preferably E. coli. In this case the polypeptide can, for instance, be produced in form of inclusion bodies, followed by renaturation in vitro. 30 further option is the use of specifically mutated strains which have an oxidizing milieu in the cytosol and thus allow allow production of the native protein in the cytosol.

As evident from the above disclosure, the mutein of the present invention or a fusion or a conjugate thereof can be employed in many applications. In general, a mutein disclosed

here can be used in all applications antibodies are used in, except those with specifically rely on the glycosylation of the Fc part.

17

PCT/EP01/11213

A preferred use of the mutein is the detection of a target by 5 a mutein of the invention or a fusion protein thereof, which comprises the steps of contacting the mutein with a sample suspected of containing the given target under suitable conditions, thereby allowing formation of a complex between mutein and the given target, and determining 10 complexed mutein by a suitable signal. This signal can be caused by a label such as a fluorescent or chromogenic label as explained above. This signal can also be caused by the change of a physical properties which is caused by the binding, i.e. complex formation itself. An example of such a 15 properties is plasmon surface resonance the value of which is changed during binding of binding partners from which one is immobilized on a surface such as a gold foil.

As noted above, a mutein disclosed here and its derivatives 20 can be employed in many areas similar to antibodies or their fragments. A mutein is preferably used for binding to a solid phase, so that the target of the mutein or a conjugate or a this target can be immobilized or fusion protein of separated. Further preferred is the use of the mutein for 25 labelling with an enzyme, an antibody or a radioactive substance or another group with a biochemical activity or with defined binding characteristics, so that the target of the mutein or a conjugate or a fusion protein of this target can be detected or brought in contact with it. Muteins of the 30 invention can serve for example in the detection of chemical structures by means of established bioanalytic methods such as ELISA or Western Blot, in microscopy or immunosensorics. Here, the detection signal can either be generated directly by use of a suitable mutein conjugate or fusion protein or 35 indirectly with detection of the bound mutein by means of an

5

10

15

20

35

antibody directed against it or for example by using an affinity tag.

18

PCT/EP01/11213

Numerous possible applications for a mutein of hNGAL, A2m or 24p3 also exist in medicine. In addition to its use in diagnostics, a mutant polypeptide of the invention which binds for example tissue- or tumour-specific cellular surface molecules can be prepared. Such a mutein can, for example, be employed in conjugated form or as a fusion protein for "tumour imaging" or directly for cancer therapy.

Another related and preferred use of a mutein described here is the target validation, i.e. the examination whether a polypeptide that is assumed to be involved in the development of a disease or disorder is indeed somehow causative of the disease or disorder. This use for validation of the protein as a pharmacological drug target takes advantage of the ability of a mutein of the present invention to specifically recognize a surface area of a protein in its native conformation, i.e. the ability of a mutein disclosed here to bind to a native epitope. In this respect, it is to be noted that this ability to bind to a native epitope has been reported only for a limited number of recombinant antibodies, irrespective whether they have been produced by the classical immunization protocol of Köhler and Milstein (Nature 256 25 (1975), 495-497) or by combinatorial techniques such as phage display. The use of a mutein for validation of a drug target does not only comprises the detection of a target which is a protein, but also detection of a target which is a protein domain, a peptide, a nucleic acid molecule, an organic 30 molecule or a metal complex.

In a further aspect, the invention refers to a pharmaceutical neutrophil composition comprising а mutein of human gelatinase-associated lipocalin, rat  $\alpha_2$ -microglobulin-related protein (A2m) or mouse 24p3/uterocalin (24p3) according to

5

10

15

20

25

the invention or a fusion protein thereof and a pharmaceutically acceptable carrier.

19

PCT/EP01/11213

A mutein, for example a hNGAL mutein, of pharmaceutical interest can, for example, be a mutein having binding to tumour-specific cellular surfaces. It can also be a mutein which binds a specific drug and which serves as a "sustained release-release" form for this drug or a long-term storage of the drug in the body of a patient. Such a mutein can be administered by any therapeutically effective route for a parenterally, pharmaceutical, e.g. proteinaceous intranasally, rectally, buccally, or by inhalation via sprays or aerosols into the respiratory tract. Administration can occur in dosage unit formulations containing conventional non-toxic pharmaceutically acceptable carriers, adjuvants and vehicles as desired. The term "parenteral" embraces delivery intramuscular, modes such as subcutaneous, intravenous, infusion intra-arterial and injection instrasternal, techniques. Due to the low molecular weight, inhalation is one of the preferred ways of administering a pharmaceutically useful mutein of the invention.

Accordingly, the mutein of the present invention can be formulated into compositions using both known pharmaceutically acceptable ingredients and methods of preparation. See, e.g., Remington et al., Pharmaceutical Sciences, 15th Ed., Mack Pub., Easton (1975).

For inhalation the muteins of the invention can be first placed into a particulate dispersed form. This can 30 accomplished by preparing an aqueous aerosol or solid polypeptide. respective which contain the particles an aqueous aerosol is made by formulating an Ordinarily, aqueous solution or suspension of the desired polypeptide together with conventional pharmaceutically 35 carriers and stabilizers. The carriers and stabilizers will vary depending upon the requirements for each polypeptide,

20

WO 03/029462

they can include nonionic surfactants (such as Tweens, Pluronics or polyethylene glycol), innocuous proteins like serum albumin, sorbitan esters, oleic acid, lecithin, amino acids such as glycine, buffers, salts, sugars or sugar alcohols. The formulations also can include bronchodilating agents. The formulations will be sterile. Aerosols generally will be prepared from isotonic solutions. The particles optionally include normal lung surfactant proteins. Exemplary formulations for inhalation of proteins are disclosed in US Patent 6,099,517, for example. Administration of dry powder compositions for inhalation of a mutein of the invention is also possible. Suitable dry-powder formulations are described in US Patent 6,123,936, for example.

PCT/EP01/11213

One option for preparing pharmaceutical compositions suitable 15 for inhalation includes to form aerosols of particles in an propellant, non-aqueous, e.g. fluorocarbon aqueous orfor example, include, Such particles suspension. intramolecular aggregates of the polypeptides or liposomal or microcapsular-entrapped polypeptides. The aerosols should be 20 free of lung irritants, i.e. substances which cause acute bronchoconstriction, coughing, pulmonary edema or tissue destruction. However, nonirritating absorption enhancing agents are suitable for use herein.

25

30

35

5

10

Suitable compositions for parenteral administration comprise pharmaceutically acceptable sterile aqueous or non aqueous solutions, dispersions, suspensions or emulsions, as well as sterile powders for reconstitution into sterile injectable solutions or into dispersions, immediately prior to use. Representative examples of suitable aqueous and non-aqueous carriers, diluents, solvents or vehicles include water, ethanol, polyols, e.g., - glycerol, propylene glycol, polyethylene glycol - and suitable mixtures thereof, vegetable oils, e.g., olive oil, and injectable organic esters such as ethyl oleate. Fluidity may be maintained by various means including the use of coating materials such as

21

WO 03/029462

lecithin, the maintenance of required particle size (in the case of dispersions) and surfactants.

PCT/EP01/11213

The compositions may also contain adjuvants such as preservatives, wetting agents, emulsifying agents, dispersing agents, antibacterial and antifungal agents such as paraben, chlorobutanol, phenol and sorbic acid, isotonic agents such as sugars, sodium chloride, or agents which delay absorption such as aluminium monostearate and gelatin. The mutein may be incorporated into slow or sustained release or targeted delivery systems such as polymer matrices, liposomes and microspheres.

Injectable formulations can be sterilized by numerous means, including filtration through a bacteria-retaining filter, or by incorporating sterilizing agents in the form of sterile solid compositions which can be dissolved or dispersed in sterile water or other sterile injectable medium just prior to use.

20

25

30

35

5

10

15

The coding sequence for the each of the proteins used as scaffold here can serve as a starting point for mutagenesis of the peptide segments selected in the present invention. The coding sequence of hNGAL has been described by Bundgard et al., Biochem. Biophys. Res. Commun. 202 (1994), 1468-1475. The coding sequence of A2m and 24p3, respectively has been published by Chan et al., Nucleic Acid Res. 16 (1988) 11638; and Stoesz et al., Oncogene 11 (1995), 2233-2241, example. For the mutagenesis of the amino acids in the four peptide loops, the person skilled in the art has at his methods for site-directed various known the disposal mutagenesis or for mutagenesis by means of the polymerase chain reaction. The mutagenesis method can, for example, be synthetic of that mixtures in characterized base degenerate bear oligodeoxynucleotides, which a can be used for composition at the desired positions, introduction of the mutations. The use of nucleotide building

10

15

20

25

blocks with reduced base pair specificity, as for example inosine, is also an option for the introduction of mutations into the chosen sequence segment or amino acid positions. The of target-binding mutagenesis for procedure simplified as compared to antibodies, since for hNGAL only four instead of six sequence segments - corresponding to the four above cited peptide loops - have to be manipulated for this purpose. A further possibility is the so-called triplet-This method uses mixtures of mutagenesis. nucleotide triplets each of which codes for one amino acid for the incorporation into the coding sequence.

22

PCT/EP01/11213

One of the various applicable methods for the introduction of mutations in the region of the four selected peptide loops of the scaffold proteins used here (i.e. in the case of hNGAL at sequence positions 33 to 54, 66 to 83, 94 to 106 and 123 to 136) is based on the use of four oligodeoxynucleotides, each the of which is partially derived from one of corresponding sequence segments to be mutated. production of these oligodeoxynucleotides, the person skilled in the art can employ mixtures of nucleic acid building blocks for the synthesis of those nucleotide triplets which correspond to the amino acid positions to be mutated, so that codons or anticodons randomly arise for all amino acids or, according to the genetic code and to the composition of this mixture, for a selection of the desired amino acids at this position.

For example, the first oligodeoxynucleotide corresponds in its sequence - apart from the mutated positions - at least partially to the coding strand for the peptide loop, which is located in the polypeptide sequence of hNGAL at the most N-terminal position. Accordingly, the second oligodeoxynucleotide corresponds at least partially to the non-coding strand for the second sequence segment following in the polypeptide sequence. The third oligodeoxynucleotide corresponds in turn at least partially to the coding strand

23

for the corresponding third sequence segment. Finally, the fourth oligodeoxynucleotide corresponds at least partially to the non-coding strand for the fourth sequence segment. A polymerase chain reaction can be performed respective first and second oligodeoxynucleotide and separately if needed, with the respective third and fourth oligodeoxynucleotide by using the nucleic acid which encodes the scaffold protein and/or its complementary strand as a template.

10

15

20

5

The amplification products of both of these reactions can be combined by various known methods into a nucleic acid which comprises the sequence from the first to the fourth sequence segments and which bears the mutations at the selected amino acid positions. To this end, both of the products can for example be subjected to a new polymerase chain reaction using flanking oligodeoxynucleotides as primers as well as one or more mediator nucleic acid molecules which contribute the sequence between the second and the third sequence segment. This procedure is schematically reproduced in Fig.1. In the choice of the number of the oligodeoxynucleotides used for the mutagenesis and their arrangement within the gene sequence of protein used, the person skilled in the art has furthermore numerous alternatives at his disposal.

25

30

35

The nucleic acid molecules which code for the sequence region encompassing the four peptide loops of the protein used and which contain mutations at the selected positions defined above can be connected by ligation with the missing 5'- and 3'-sequences of a nucleic acid coding for hNGAL, for example, and/or the vector, and can be cloned in a known host organism. A multitude of procedures are at one's disposal for the ligation and the cloning. For example, in the course of an amplification, synthetic nucleic acid molecules with restriction endonuclease recognition sequences, which are also present at the corresponding positions in the nucleic acid sequence for hNGAL, can be attached at both ends of the

10

15

20

25

30

35

nucleic acid to be cloned so that a ligation is made possible following hydrolysis with the corresponding restriction enzyme. The missing 5'- and 3'-sequences of a nucleic acid coding for the respective lipocalin used in the present invention can also be attached to the nucleic acid molecule

comprising the mutated sequence positions via PCR.

24

PCT/EP01/11213

Longer sequence segments within the gene coding for the protein selected for mutagenesis can also be subjected to random mutagenesis via known methods, for example by use of the polymerase chain reaction under conditions of increased error rate, by chemical mutagenesis or by using bacterial mutator strains (Low et al., J. Mol. Biol. 260 (1996), 359-368). Such methods can also be used for the further optimization of the target affinity or target specificity of a mutein which has already been produced. Mutations which possibly occur outside the segments of the sequence positions 33 to 54, 66 to 83, 94 to 106 and 123 to 136 of hNGAL, for tolerated or can even instance, can often be advantageous, for example if they contribute to an improved folding efficiency or folding stability of the mutein.

After having brought the coding nucleic acid sequences that were subjected to mutagenesis to expression, the clones carrying the genetic information for the plurality of respective muteins which bind a given target can be selected from the library obtained. Known expression strategies and selection strategies can be employed for the selection of these clones. Methods of this kind have been described in the context of the production or the engineering of recombinant antibody fragments, such as the "phage display" technique (Hoess, Curr. Opin. Struct. Biol. 3 (1993), 572-579; Wells and Lowman, Curr. Opin. Struct. Biol. 2 (1992), 597-604) or "colony screening" methods (Skerra et al., Anal. Biochem. 196 (1991), 151-155) or "ribosome display" (Roberts, Curr. Opin. Chem. Biol. 3 (1999) 268-273).

5

10

15

20

25

30

25

PCT/EP01/11213

An embodiment of the "phage display" technique (Hoess, supra; Wells and Lowman, supra; Kay et al., Phage Display Peptides and Proteins - A Laboratory Manual (1996), Academic Press) is given here as an example of a selection method according to the invention for muteins with the desired characteristics. The various other embodiments of the "phage display" technique are hereby incorporated into the disclosure by reference. For the exemplary selection method, phasmids are produced which effect the expression of the mutated hNGAL structural gene as a fusion protein with a signal sequence at the N-terminus, preferably the OmpA-signal sequence, and with the coat protein pIII of the phage M13 (Model and Russel, in "The Bacteriophages", Vol. 2 (1988), Plenum Press, New York, 375fragments of this coat protein, which or incorporated into the phage coat, at the C-terminus. The Cterminal fragment ApIII of the phage coat protein, which contains only amino acids 217 to 406 of the natural coat protein pIII, is preferably used to produce the fusion proteins. Especially preferred is a C-terminal fragment from pIII in which the cysteine residue at position 201 is missing or is replaced by another amino acid.

The fusion protein can contain other components such as for example an affinity tag or an epitope sequence for an antibody which allows the immobilization or the later purification of the fusion protein or its parts. Furthermore, a stop codon can be located between the region coding for hNGAL or its mutein and the gene segment for the coat protein or its fragment, which stop codon, preferably an amber stop codon, is at least partially translated into an amino acid during translation in a suitable suppressor strain.

Phasmids here denote plasmids which carry the intergenetic region of a filamentous bacterial phage, such as for example M13 or f1 (Beck and Zink, Gene 16 (1981), 35-58) or a functional part thereof, so that during superinfection of the

5

10

15

20

25

30

35

bacterial cells with a helper phage, for example M13K07, VCS-M13 or R408, one strand of the circular phasmid DNA is packaged with coat proteins and is exported into the medium as so-called phagemid. On the one hand this phagemid has the hNGAL mutein encoded by the respective phasmid built into its surface as a fusion with the coat protein pIII or its fragment, wherein the signal sequence of the fusion protein is normally cleaved off. On the other hand it carries one or more copies of the native coat protein pIII from the helper phage and is thus capable of infecting a recipient generally a bacterial strain carrying an F- or F'-plasmid. In this way a physical coupling is ensured between the packaged nucleic acid carrying the genetic information for the respective hNGAL mutein, and the encoded protein which is at least partially presented in functional form on the surface of the phagemid.

26

PCT/EP01/11213

The vector phNGAL5 (Fig.1) can for example be used in the construction of the phasmid with the sequences coding for the hNGAL muteins. The nucleic acid coding for the peptide loops can, for example, be inserted into the vector phNGAL5 via both of the BstXI-restriction sites. Recombinant phasmids are incorporated by transformation into the E. coli strain, for example XL1-blue (Bullock et al., BioTechniques 5 (1987), 376-379) or TG1. In this way, clones are made which can produce many different hNGAL muteins as fusion proteins.

This library, i.e. the collection of the clones obtained, is subsequently superinfected in liquid culture according to known methods with an M13-helper phage. After this infection the incubation temperature of the culture can be reduced for production of the phagemids. Preferred incubation temperatures are those in which the optimal folding of the hNGAL mutein as a component of the fusion protein with the phage coat protein or its fragment is expected. During or after the infection phase the expression of the gene for the fusion protein with the hNGAL mutein can be induced in the

27

WO 03/029462

5

30

35

addition for example by cells, bacterial anhydrotetracycline. The induction conditions are chosen such that a substantial fraction of the phagemids produced presents at least one hNGAL mutein. The phagemids isolated after a culture incubation phase of for example 6 to 8 hours. Various methods are known for isolation of the with for example precipitation phagemids, such as polyethylene glycol.

PCT/EP01/11213

The isolated phasmids can be subjected to a selection by 10 incubation with the desired target, wherein the target is temporary form allowing at least a a immobilization of those phagemids carrying muteins with the desired binding activity as fusion proteins in their coat. Among the various embodiments known to the person skilled in 15 the art, the target can for example be conjugated with a carrier protein such as serum albumin and be bound via this carrier protein to a protein binding surface, for example polystyrene. Microtiter plates suitable for ELISA techniques or so-called "immuno-sticks" can preferably be used for this 20 immobilization of the target. Alternatively, conjugates of the target can also be implemented with other binding groups can then for example biotin. The target immobilized on surfaces which selectively bind this group, such as for example microtiter plates or paramagnetic 25 particles coated with streptavidin or avidin.

Residual protein- or phagemid-binding sites present on the surfaces which are charged with targets can be saturated with blocking solutions known for ELISA-methods. The phagemids are contact subsequently brought in example physiological buffer with the target immobilized on surface. Unbound phagemids are removed by multiple washings. phagemid particles remaining on the surface subsequently eluted. For elution, the free target can be added as a solution. But the phagemids can also be eluted by addition of proteases or, for example, in the presence of

28

PCT/EP01/11213

acids, bases, detergents or chaotropic salts, or under moderately denaturing conditions. A preferred method is the elution using buffers of pH 2.2, wherein the eluate is subsequently neutralized.

5

10

15

20

E. coli cells are infected with the eluted phagemids using generally known methods. The nucleic acids can also be extracted from the eluted phagemids and be incorporated into the cells in another manner. Starting from the E. coli clones obtained in this way, phagemids are in turn generated by superinfection with M13-helper phages according to the method described above and the phagemids propagated in this way are once again subjected to a selection on the surface with the immobilized target. Multiple selection cycles are often necessary in order to obtain the phagemids with the muteins of the invention in enriched form. The number of selection cycles is preferably chosen such that in the subsequent functional analysis at least 0.1 % of the clones studied produce muteins with detectable affinity for the given target. Depending on the size, i.e. the complexity of the library employed, 2 to 8 cycles are typically required to this end.

For the functional analysis of the selected muteins, an E. coli strain is infected with the phagemids obtained from the 25 selection cycles and the corresponding double stranded phasmid DNA is isolated. Starting from this phasmid DNA or also from the single-stranded DNA extracted from phagemids, the nucleic acid sequences of the selected muteins 30 of the invention can be determined by the methods common for this purpose and the amino acid sequence can be derived therefrom. The mutated region or the sequence of the entire hNGAL mutein can be subcloned in another expression vector and expressed in a suitable host organism. phNGAL7 can for 35 example be used as the expression vector (cf. Fig.3) and the expression with phNGAL7 derivatives can be performed in E. coli strains, for example E.coli-TG1. The muteins of hNGAL

be

produced by genetic engineering can be purified by various proteinchemical methods. The hNGAL muteins produced for example with phNGAL7 carry the affinity peptide Strep-Tag II (Schmidt et al., supra) at their C-terminus and can therefore affinity

by

streptavidin

purified

29

PCT/EP01/11213

chromatography.

WO 03/029462

preferably

5

10

15

20

25

The selection can also be carried out by means of other methods. Many corresponding embodiments are known to the person skilled in the art or are described in the literature. A combination of methods can also be applied. For example, clones selected or at least enriched by "phage display" can additionally be subjected to a "colony screening". This procedure has the advantage that individual clones can directly be isolated with respect to the production of a hNGAL mutein with detectable binding affinity for a target.

In addition to the use of E. coli as host organism in the "phage display" technique or the "colony screening" method, other bacterial strains, yeast or also insect cells or mammalian cells can for example be used for this purpose. In addition to the selection of an hNGAL mutein from a primary library produced starting from a coding nucleic acid sequence for a mutein, comparable methods can also be applied in order to optimize a mutein with respect to the affinity or specificity for the desired target by repeated, optionally limited mutagenesis of its coding nucleic acid sequence.

It is surprising that by use of the method of the invention hNGAL muteins can be isolated which show detectable affinity 30 to a given target (cf. Examples 4, 5).

It is additionally possible to subject the muteins produced to a further, optionally partial random mutagenesis in order to select variants of even higher affinity from the new 35 library thus obtained. A corresponding procedures have already been described for the case of digoxigenin binding \*

WO 03/029462

PCT/EP01/11213

30

muteins of the bilin-binding protein for the purpose of an "affinity maturation" (DE 199 26 068, WO 00/75308; Schlehuber et al., supra) and can also be applied to a mutein disclosed here in a corresponding manner by the person skilled in the art.

The invention is further illustrated by the following examples and the attached drawings in which:

10 Figure 1 schematically depicts the phasmid vector phNGAL5;

Figure 2 schematically illustrates the production of the library of lipocalin muteins at the nucleic acid level;

15

5

Figure 3 schematically depicts the expression vector phNGAL7;

Figure 4 schematically depicts the expression vector pTLpc3;

20

Figure 5 depicts the binding of the mutein TlpcA to Tear lipocalin and a corresponding control experiment with hNGAL using ELISA.

25

30

35

Fig.1 shows a schematic drawing of phNGAL5. This vector codes for a fusion protein of the OmpA signal sequence, a modified hNGAL with the three amino acid substitutions Gln28 to His, Leu137 to Ile as well as Thr145 to Ala, the Strep-Tag II affinity tag and a shortened form of the M13 coat protein pIII, comprising the amino acids 217 to 406 (pIII). The entire structural gene is subject to the transcriptional control of the tetracycline promoter/operator (tet<sup>p/o</sup>) and ends at the lipoprotein transcription terminator ( $t_{lpp}$ ). Further elements of the vector are the origin of replication (ori), the intergenic region of the filamentous bacterophage f1 (f1-IG), the ampicillin resistance gene (bla) coding for

5

10

15

31

PCT/EP01/11213

ß-lactamase and the tetracycline repressor gene (tetR). An amber stop codon, which is partially translated into Gln in SupE amber suppressor host strain, is located between the coding region for hNGAL with the OmpA signal sequence and the Strep-Tag II as well as the coding region for the truncated phage coat protein pIII. Both the two BstXI-restriction sites used for the cloning of the mutated gene cassette and the restriction sites flanking the structural gene are labelled. A relevant segment from the nucleic acid sequence of phNGAL5 is reproduced together with the encoded amino acid sequence in the sequence protocol as SEQ ID NO:7. The segment begins with an XbaI restriction site and ends with the HindIII restriction site. The vector elements outside this region are identical with those of the vector pASK75, the complete nucleotide sequence of which is given in the German patent publication DE 44 17 598 A1.

Fig.2 schematically shows a strategy for the concerted mutagenesis of 20 selected amino acid positions in the hNGAL by repeated application of the polymerase chain reaction 20 (PCR). For each of the four peptide loops in which the amino are to be mutated, an oligodeoxynucleotide synthesized, (SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3 and SEQ ID NO:4), wherein the respective mixtures of the nucleotides given in the sequence protocol were employed at the mutation 25 sites. Due to the composition chosen, from the altogether three possible stop codons only the amber stop codon, TAG, was allowed at the mutated codons, which is translated as glutamine in the E. coli supE-strains XL1-blue (Bullock et al., BioTechniques 5 (1987), 376-378) or TG1 (Sambrook et 30 al., Molecular Cloning. A Laboratory Manual (1989), Cold Spring Harbor Press) that were used for gene expression. For certain applications, for example for gene expression in other bacterial strains or organisms, such a nonsense codon, when it arises in the structural gene for a selected hNGAL 35 mutein, can be substituted by a glutamine-encoding codon by the person skilled in the art, for example via site-directed

10

15

20

25

30

35

mutagenesis. A nucleic acid fragment with 168 base pairs was amplified (1. PCR, PCR A)) with the primers SEQ ID NO:1 and SEQ ID NO:2 using the phNGAL3-plasmid-DNA (SEQ ID NO:8) containing the cloned hNGAL cDNA as template. In another PCR, a nucleic acid fragment with 179 base pairs was amplified (1. PCR, PCR B) with the primers SEQ ID NO:3 and SEQ ID NO:4, also using phNGAL3 as template. phNGAL3 differs from phNGAL5 only by two missing BstXI restriction sites at positions 283 and 630 and one further BstXI restriction site at position 675, showing the hNGAL wildtype sequences there. The mixture of both PCR products, which were partially overlapping, served as template in another amplification (2. PCR) with the two flanking PCR primers SEQ ID NO:5 and SEQ ID NO:6, wherein a gene fragment of 386 base pairs was obtained. This fragment contained the mixture of all 20 mutated codons and was subsequently cloned using the two BstX restriction sites on the vector phNGAL5. The use of these two restriction sites, the special arrangement of which led to two non-compatible overhanging DNA ends during the restriction digest, enabled a particularly efficient ligation. The substitution of the amino acids Gln28 to His and Thr145 to Ala with respect to the original sequence as well as a silent mutation in the codon for Ser156 were previously accomplished during the construction of pHNGAL5 in order to introduce both of the BstXI restriction sites into the hNGAL structural gene.

32

PCT/EP01/11213

Fig.3 shows a drawing of phNGAL7. phNGAL7 codes for a fusion protein made of the OmpA-signal sequence, a modified hNGAL according to Fig.1, the Strep-Tag® II affinity tag, and an albumin-binding domain (abd) of protein G from Streptococcus (Kraulis et al., FEBS Lett. 378 (1996), 190-194). All further genetic elements are identical with phNGAL5. A relevant segment from the nucleic acid sequence of phNGAL7 is reproduced together with the encoded amino acid sequence in the sequence protocol as SEQ ID NO:9. The segment begins with the XbaI-restriction site and ends with the HindIII restriction site. The vector elements outside this region are

33

identical with the vector pASK75, the complete nucleotide sequence of which is given in the German patent publication DE 44 17 598 A1.

Fig.4 shows a drawing of pTLpc3. pTLpc3 codes for a fusion protein made of the OmpA-signal sequence, a modified human Tear Lipocalin with the amino acid substitution Cys97 to Ser and, the Strep-Tag® II affinity tag. All further genetic elements are identical with phNGAL5. A relevant segment from the nucleic acid sequence of pTLpc3 is reproduced together with the encoded amino acid sequence in the sequence protocol as SEQ ID NO:9. The segment begins with the XbaI-restriction site and ends with the HindIII restriction site. The vector elements outside this region are identical with the vector pASK75, the complete nucleotide sequence of which is given in the German patent publication DE 44 17 598 A1.

Fig.5 shows a graphical representation of the data from Example 5, in which binding measurements with the hNGAL mutein TlpcA were performed by Enzyme-linked Immunosorbent Assay (ELISA). Binding of TlpcA and Tear lipocalin (squares) was compared to the interaction of hNGAL and Tear lipocalin (open circles). TlpcA binds Tear lipocalin in a concentration-dependent manner. hNGAL does not show a significant binding signal.

### Examples

20

25

35

### 30 Example 1: Production of a library for hNGAL muteins

Unless otherwise indicated, genetic engineering methods known to the person skilled in the art were used, as for example described in Sambrook et al.(supra).

PCR was applied in multiple steps according to Figure 2 for the concerted mutagenesis of in total 20 selected amino acid

PCT/EP01/11213

positions in the four peptide loops of hNGAL. The PCR reactions were carried out in a volume of 100  $\mu l$  in both of the first amplification steps, wherein 20 ng phNGAL3 plasmid DNA was employed as template together with 50 pmol of each of the respective primers (SEQ ID NO:1 and SEQ ID NO:2 or SEQ ID respectively), which had been NO:4, SEQ ID synthesized according to the conventional phosphoramidite method. In addition, the reaction mixture contained 10  $\mu l$ 10xTaq buffer (100 mM Tris/HCl pH 9,0, 500 mM KCl, 15 mM  $MgCl_2$ ,1% v/v Triton X-100), 10  $\mu$ l dNTP-Mix (2 mM dATP, dCTP, dGTP, dTTP). After bringing to volume with water, 5 u Taq added and Promega) were DNA-polymerase (5 u/µl, temperature cycles of 1 minute at 94 °C, 1 minute at 60 °C and 1.5 minutes at 72 °C were carried out in a thermocycler with a heated lid (Eppendorf), followed by an incubation for 5 minutes at 60 °C. The desired amplification products were isolated by preparative agarose gel electrophoresis from Low Melting Point Agarose (Roche Diagnostics) using the Jetsorb DNA extraction kit (Genomed).

34

20

25

30

35

5

10

15

The subsequent amplification step was also carried out in a 100 µl mixture, wherein approximately 6 ng of both of these respective fragments were used as templates, in the presence of 50 pmol of each of the primers SEQ ID NO:5 and SEQ ID NO:6. The remaining components of the PCR mixture were added in the same amounts as in the previous amplification steps. PCR took place with 20 temperature cycles of 1 minute at 94 °C, 1 minute at 55 °C, 1.5 minutes at 72 °C, followed by a subsequent incubation for 5 minutes at 60 °C. The PCR product was purified using the E.Z.N.A. Cycle-Pure Kit (PeqLab).

For the cloning of this fragment, which represented the library of the hNGAL muteins in nucleic acid form, it was first cut with the restriction enzyme BstXI (New England Biolabs) according to the instructions of the manufacturer and purified by means of the E.Z.N.A. Cycle-Pure Kit. After a second restriction digest with BstXI, the nucleic acid

5

10

15

20

25

30

purified preparative agarose was by fragment electrophoresis, resulting in a double stranded DNA-fragment of 347 nucleotides in size. The DNA of the vector phNGAL5 was cut with BstXI in the same manner and the larger of the two resulting fragments (3971 bp) was isolated.

35

PCT/EP01/11213

For the ligation, 2.75  $\mu g$  (12 pmol) of the PCR fragment and 31.45 µg (12 pmol) of the vector fragment was incubated in the presence of 180 Weiss Units T4 DNA ligase (New England Biolabs) in a total volume of 600  $\mu$ l (50 mM Tris/HCl pH 7.8, 10 mM MgCl<sub>2</sub>, 10 mM DTT, 1 mM ATP, 50 µg/ml BSA) for four days at 16 °C. The DNA was subsequently precipitated by adding 50 μg tRNA from yeast (Boehringer Mannheim), 125 μl 5 M ammonium acetate and 500 µl ethanol per 120 µl of ligation mixture. Incubation at -20 °C for three days was followed centrifugation (30 minutes, 16000 g, 4 °C). Each precipitate was washed with 750 µl ethanol (70% v/v, -20°C), centrifuged (5 minutes, 16000 g, 4 °C), and dried under vacuum (2 minutes). The DNA was finally dissolved in 200  $\mu$ l TE/10 (1 mM Tris/HCl pH 8.0, 0.1 mM EDTA pH 8.0) and adjusted with water to a final volume of 260  $\mu$ l.

The preparation of electrocompetent cells of the E. coli K12 strain XL1-blue (Bullock et al., supra) was carried out according to the methods described by Tung and Chow (Trends Genet. 11 (1995), 128-129) and by Hengen (Trends Biochem. Sci. 21 (1996), 75-76). 1 l LB-medium was adjusted by addition of a stationary XL1-blue overnight culture to an optical density at 600 nm of  $OD_{600} = 0.08$  and was incubated at 200 rpm and 26 °C in a 2 l Erlenmeyer flask. After reaching an  $OD_{600} = 0.6$ , the culture was cooled for 30 minutes on ice and subsequently centrifuged for 15 minutes at 4000 g and 4 °C. The cell sediment was washed twice each with 500 ml icecold 10% w/v glycerol and was finally resuspended in 2 ml of ice-cold GYT-medium (10 % w/v glycerol, 0.125 % w/v yeast 35 extract, 0.25 % w/v tryptone).

10

15

20

36

PCT/EP01/11213

The Micro Pulser system (BioRad) was used with the cuvettes from the same vendor (electrode separation 2 mm) for the electroporation. All steps were carried out in the cold room at 4 °C. Each 5 µl of the DNA solution mentioned above was mixed with 40  $\mu l$  of the cell suspension, incubated for 1 minute on ice and finally transferred to the cuvette. After the electroporation the suspension was immediately diluted in 2 ml of ice-cold SOC-medium (2 % w/v tryptone, 0.5 % w/v yeast extract, 10 mM NaCl, 10 mM MgSO4, 10 mM MgCl2) and was shaken for 60 minutes at 37 °C and 200 rpm. The culture was 2xYT-medium with 100 µg/ml ampicillin diluted in 2 l (2YT/Amp) and cultivated until the  $OD_{550}$  caused by the replicating cells was raised to 0.64. By employing in total 34.2  $\mu g$  of the ligated DNA,  $7x10^7$  transformants were obtained in this way with 49 electroporation runs. The transformants were further used according to Example 2.

# Example 2: Phagemid presentation and selection of hNGAL muteins against human Tear Lipocalin

200 ml of the culture, containing the cells which were transformed with the phasmid vectors similar to phNGAL5 coding for the library of the lipocalin muteins as fusion proteins, were transferred to a sterile Erlenmeyer flask.

25 After infection with VCS-M13 helper phage (Stratagene) at a multiplicity of infection of approximately 10 the culture was shaken for additional 30 minutes at 37 °C, 160 rpm. Kanamycin

was lowered to 30 °C and, after 10 minutes, anhydrotetracycline (ACROS Organics) was added at 100 µg/l (200 µl of a 100 µg/ml stock solution in dimethylformamide, DMF) in order to induce gene expression. Incubation continued

(70  $\mu$ g/ml) was subsequently added, the incubator temperature

for another 5 hours at 30 °C, 160 rpm.

35 50 ml were removed from this culture and the cells were sedimented by centrifugation (15 minutes, 12000 g, 4 °C). The supernatant containing the phagemid particles was sterile-

5

10

15

20

25

30

37

filtered (0.45  $\mu m)\,,$  mixed with 1/4 volume (12.5 ml) 20 % w/v PEG 8000, 15 % w/v NaCl, and incubated overnight at 4 °C. After centrifugation (20 minutes, 18000 g, 4 precipitated phagemid particles were dissolved in 2 ml of cold PBS (4 mM  $KH_2PO_4$ , 16 mM  $Na_2HPO_4$ , 115 mM NaCl, pH 7.4). The solution was incubated on ice for 30 minutes and was 1.5 reaction vessels. distributed into two mlcentrifugation of undissolved components (5 minutes, 18500 g, 4 °C) each supernatant was transferred to a new reaction vessel.

PCT/EP01/11213

Mixture with 1/4 volume 20 % w/v PEG 8000, 15 % w/v NaCl and incubation for 30 to 60 minutes on ice served to reprecipitate the phagemid particles. After centrifugation (20 minutes, 18500 g, 4 °C) the supernatant was removed and the precipitated phagemid particles were dissolved combined in a total of 400  $\mu l$  PBS. After incubation for 30 minutes on ice the solution was centrifuged (5 minutes, 18500 q, 4 °C) in order to remove residual aggregates and the supernatant was used directly for the affinity enrichment.

Immuno-sticks (NUNC) were used for the affinity enrichment of the recombinant phagemids carrying the hNGAL mutein fusion proteins. These were coated overnight with 800 µl of human Tear Lipocalin (Tlpc) (450  $\mu$ g/ml) in PBS.

For the production of recombinant Tlpc, cells of E. coli JM83 (Yanisch-Perron et al., Gene 33 (1985), 103-119) transformed with the expression plasmid pTLpc3 harbouring the cDNA of Tlpc (for the cDNA of Tlpc, see Holzfeind and Redl, Gene 139 (1994), 177-183) and used for protein production and purification according to example 3. The protein yield was approximately 2.2 mg per 1 l culture volume.

Unoccupied binding sites on the surface of the Immuno-Stick 35 were saturated by incubation with 1.2 ml 2% w/v BSA in PBST (PBS with 0.1 % v/v Tween 20) for 2 hours at RT. Afterwards

the Immuno-Stick was incubated with a mixture of 250  $\mu l$  of the phagemid solution and of 500  $\mu l$  of blocking buffer (3 % w/v BSA in PBST) for 1 hour at RT.

For the removal of unbound phagemids, washing was performed eight times, each time with 950  $\mu$ l PBST for 2 minutes. Adsorbed phagemids were finally eluted by 10 minute treatment of the Immuno-Stick with 950  $\mu$ l 0.1 M glycine/HCl pH 2.2, followed by immediate neutralisation of the pH of the elution fraction by mixing it with 150  $\mu$ l 0.5 M Tris.

For the amplification, this phagemid solution (1.1 ml, containing between  $10^6$  and  $10^8$  Colony-forming Units, depending on the selection cycle) was shortly warmed to 37 °C, mixed with 3 ml of an exponentially growing culture of E. coli XL1-blue (OD<sub>550</sub> = 0.5), and incubated for 30 minutes at 37 °C, 200 rpm. The cells infected with the phagemids were subsequently sedimented (2 minutes, 4420 g, 4 °C), resuspended in 600  $\mu$ l of the culture medium, and plated out onto three agar plates with LB-medium containing 100  $\mu$ g/ml ampicillin (LB/Amp; 140 mm diameter).

After incubation for 14 hours at 32 °C, the cells were scraped from the agar plates, each with addition of 10 ml 2xYT/Amp-medium, were transferred to a sterile Erlenmeyer-flask, and were shaken for 20 minutes at 37 °C, 200 rpm for complete suspension. 200 ml of 2xYT/Amp-medium prewarmed to 37 °C were inoculated to an  $OD_{550} = 0.08$  with an appropriate volume of this suspension.

30

35

15

20

25

For the repeated production and affinity enrichment of phagemid particles the same procedure as described at the beginning of this example was used. In these cases 50 ml 2xYT/Amp-medium was inoculated with 0.2 to 1 ml of the suspension of the cells grown on the agar plates and phagemids were produced during a period of seven instead of

5

10

15

20

PCT/EP01/11213

five hours at 30 °C. Four further selection cycles with the Tlpc were carried out in this way.

39

# Example 3: Identification of human Tear Lipocalin-binding hNGAL muteins by use of the "colony screening"-method

For the analytical production of the hNGAL muteins as fusion proteins with the Strep-Tag® II as well as with the albumin-binding domain and their characterization by colony screening, the gene cassette between the two <code>BstXI</code> cleavage sites was subcloned from the vector phNGAL5 on phNGAL7.

For this purpose the phasmid DNA was isolated from the mixture of the *E. coli* clones obtained by infection with the phagemids from Example 2 eluted as a result of the last selection cycle, using the Perfectprep Plasmid Midi Kit (Eppendorf). The DNA was cut with the restriction enzyme <code>BstXI</code> and the smaller of the two fragments (347 bp) was purified by preparative agarose-gel electrophoresis as described in Example 1. The DNA of the vector phNGAL7 was cut with <code>BstXI</code> and the larger of the two fragments (3971 bp) was isolated in the same way.

For the ligation, each 100 fmol of the two DNA-fragments were mixed with 1.5 Weiss Units T4 DNA ligase (Promega) in a total volume of 20  $\mu$ l (30 mM Tris/HCl pH 7.8, 10 mM MgCl<sub>2</sub>, 10 mM DTT, 1 mM ATP), followed by incubation overnight at 16 °C. E. coli TG1-F (E. coli K12 TG1, which had lost its episome through repeated culturing under non-selective conditions) was transformed with 2  $\mu$ l of this ligation mixture according to the CaCl<sub>2</sub>-method (Sambrook et al., supra).

A hydrophilic PVDF membrane (Millipore, type GVWP, pore size 0.22  $\mu$ m), labelled at one position and cut to size, was laid onto an LB/Amp agar plate. 150  $\mu$ l of the cell suspension from the transformation batch, which had been centrifuged (5000 g, 2 min, 4 °C) and resuspended in 500  $\mu$ l of the culture medium,

40

PCT/EP01/11213

were uniformly plated onto this membrane. The agar plate was incubated for 7.5 hours at 37 °C until the colonies had reached a size of approximately 0.5 mm.

In the meantime a hydrophobic membrane (Millipore, Immobilon 5 P, pore size 0.45  $\mu m$ ), also cut to size, was moistened with PBS according to the instructions of the manufacturer. It was subsequently agitated for 4 hours at RT in a solution of 10 mg/ml human serum albumin (HSA, Sigma) in PBS. Remaining binding sites on the membrane were saturated by incubation 10 with 3 % w/v BSA, 0.5 % v/v Tween 20 in PBS for 2 hours at RT. The membrane was washed twice for 10 minutes each with 20 ml PBS and agitated afterwards for 10 minutes in 10 ml LB/Amp medium, to which 200 µg/l anhydrotetracycline were added. It was subsequently marked at one position and was laid onto a 15 culture plate with LB/Amp agar, which additionally contained  $200 \mu g/l$  anhydrotetracycline. The hydrophilic membrane on which the colonies were grown was laid onto the hydrophobic membrane in such a way that both of the marks superimposed. The culture plate was incubated with both membranes at 22 °C 20 for 15 hours. During this phase the respective hNGAL muteins were secreted from the colonies and were immobilized via the albumin-binding domain on the HSA on the lower membrane.

After this, the upper membrane with the colonies 25 transferred to a fresh LB/Amp agar plate and stored at 4 °C. The hydrophobic membrane was removed, washed three times for 5 minutes each with 20 ml PBST, and subsequently incubated for 1 hour in 10 ml of a solution of a conjugate (10  $\mu$ g/ml) from Tear lipocalin and biotin in PBST. For the production of 30 solution of 0.285 mq D-biotinovl-εconjugate, a amidocaproic acid-N-hydroxysuccinimide ester (Roche) in 9  $\mu$ l DMSO was slowly added to 2.5 ml of 450  $\mu g/ml$  Tlpc in 5 % w/v $NaHCO_3$  (pH 8.2). After stirring for 1 hour at RT, excess reactant was removed by means of a PD-10 gel filtration 35 column (Pharmacia) using PBS as running buffer.

41

After incubation with the conjugate, the membrane was washed three times with PBST, followed by incubation for 1 hour with 10 ml avidin-alkaline-phosphatase conjugate (Sigma, dilution 1:40000 in PBST). The membrane was subsequently washed each twice with PBST and once with PBS for 5 minutes and agitated for 10 minutes in AP-buffer (0.1 M Tris/HCl pH 8.8, 0.1 M NaCl, 5 mM MgCl<sub>2</sub>). For the chromogenic reaction, the membrane was incubated in 10 ml AP-buffer, to which 30 µl 5-bromo-4-chloro-3-indolyl phosphate 4-toluidine salt (Roth, 50 µg/ml in dimethylformamide) and 5 µl nitro blue tetrazolium (Roth, 75 µg/ml in 70 % v/v dimethylformamide) were added, until distinct colour signals could be recognized at the positions of some of the colonies. In this way the binding activity for the protein ligand, i.e. Tlpc, of the hNGAL muteins produced by these colonies was detected.

Twelve of the colonies giving rise to colour spots were cultured from the first membrane. Their plasmid DNA was isolated and the hNGAL gene cassette was subjected to sequence analysis by use of the Genetic Analyzer 310 system 20 (Applied Biosystems) according to the instructions of the manufacturer using the oligodeoxynucleotide SEQ ID NO:11 as primer. The twelve sequenced clones exhibited only eight different sequences, which were named TlpcA, TlpcB, TlpcC, TlpcD, TlpcE, TlpcF, TlpcG, TlpcH. The clone TlpcA was found 25 five times. The nucleotide sequences of the clones were translated into amino acid sequences and those amino acids deviating from hNGAL are given in Table 1. The amino acid sequence and the nucleotide sequence of the mutein TlpcA are ID NO:12 and SEQ ID NO:13. also in given as SEQ 30 sequencing revealed amber stop codons, which were suppressed in the employed E. coli strains, at different positions in all of the selected variants.

5

10

15

5

10

15

PCT/EP01/11213

## Example 4: Production of the hNGAL muteins

For the preparative production of hNGAL and its muteins one selected colony as well as the hNGAL originally encoded on phNGAL7, as a control, were produced in the  $E.\ coli$  strain TG1-F<sup>-</sup>.

42

To this end, 100 ml of LB/Amp-medium were inoculated with a single colony of the TG1-F transformant carrying the respective plasmid, and incubated overnight at 30 °C, 200 rpm. 2 l of LB/Amp-medium in a 5 l-Erlenmeyer flask were then inoculated with each 40 ml of this preculture and were shaken at 22 °C, 200 rpm to an  $OD_{550} = 0.5$ . Induction was performed by adding 200 µg/l anhydrotetracycline (200 µl of a 2 mg/ml stock solution in DMF) followed by shaking for 3 further hours at 22 °C, 200 rpm.

The cells from one flask were centrifuged (15 minutes, 4420 after decanting the supernatant, °C) and, resuspended in 20 ml of periplasmic release buffer (100 mM 20 Tris/HCl pH 8.0, 500 mM sucrose, 1 mM EDTA) with cooling for 30 minutes on ice. Subsequently the spheroplasts were removed in two successive centrifugation steps (15 minutes, 4420 g, 4 °C and 15 minutes, 30000 g, 4 °C). The supernatant comprising the periplasmatic protein extract was dialyzed against CP-25 buffer (100 mM Tris/HCl pH 8.0, 150 mM NaCl, 1 mM EDTA), chromatographic sterile-filtered, and served for the purification.

The purification took place by means of the Strep-Tag® IIaffinity tag (Schmidt et al., supra) which was situated
between the hNGAL variant and the albumin binding domain. In
the present case the streptavidin mutein "1" was employed
(German Patent 196 41 876.3; Voss and Skerra, Protein Eng. 10
(1997), 975-982), which was coupled to an NHS-activated
sepharose (Pharmacia) yielding 5 mg/ml immobilized
streptavidin, relative to the bed volume of the matrix.

A 4 ml bed volume chromatography column filled with this material was equilibrated with 20 ml CP-buffer at 4 °C at a flow rate of 40 ml/h. Chromatography was monitored by measuring the absorption at 280 nm of the eluate in a flow-through photometer. After the application of the periplasmatic protein extract, the column was washed with CP-buffer until the base line was reached and the bound hNGAL mutein was subsequently eluted with 10 ml of a solution of 2.5 mM D-desthiobiotin (Sigma) in CP-buffer. The fractions containing the purified hNGAL mutein were checked via SDS-polyacrylamide gel electrophoresis (Fling und Gregerson, Anal. Biochem. 155 (1986), 83-88) and were pooled. The protein yields were between 30  $\mu$ g and 70  $\mu$ g per 1 l culture.

10

15

Table 1: Sequence characteristics of selected hNGAL muteins

Pos. hNGAL TlpcA TlpcB TlpcC TlpcD TlpcE TlpcF TlpcG TlpcH

| 20 | 40  | Ala | Cys | Gly  | Leu  | Ser  | Val  | Gly  | Arg  | Ala  |
|----|-----|-----|-----|------|------|------|------|------|------|------|
|    | 42  | Leu | Val | Tyr  | Pro  | Val  | Leu  | Ser  | Ser  | Cys  |
|    | 44  | Glu | Gln | Tyr  | Ile  | Phe  | Gln* | Phe  | Ile  | Pro  |
|    | 46  | Lys | Leu | Gln* | Gln* | Gln* | Cys  | Arg  | Phe  | Leu  |
|    | 47  | Asp | Leu | Arg  | Ala  | Ser  | Trp  | Phe  | Gln* | Phe  |
| 25 | 49  | Gln | Ser | Trp  | Ile  | Ser  | Cys  | Val  | Val  | Phe  |
|    | 50  | Lys | Met | Ser  | Phe  | Ala  | Pro  | Gln  | Phe  | Leu  |
|    | 70  | Leu | Leu | Leu  | Glu  | Gly  | Asp  | Ser  | Pro  | Gln* |
|    | 72  | Arg | Met | Arg  | Ala  | Asn  | Glu  | Gln* | Ala  | Arg  |
|    | 73  | Lys | Asp | Asp  | Tyr  | Lys  | Lys  | Gln  | Asn  | Pro  |
| 30 | 77  | Asp | Arg | Pro  | Val  | Asn  | Asn  | Trp  | Ile  | Ser  |
|    | 79  | Trp | Tyr | Met  | Lys  | Thr  | Val  | Arg  | Ala  | Arg  |
|    | 101 | Pro | Gly | Leu  | Tyr  | Tyr  | Pro  | Phe  | Val  | Leu  |
|    | 102 | Gly | Ile | Ser  | Leu  | Trp  | Val  | Pro  | Val  | Thr  |
|    | 103 | Leu | Val | Leu  | Tyr  | Gln* | Leu  | Ser  | Thr  | Met  |
| 35 | 125 | Lys | Ser | Trp  | Leu  | Gly  | Glu  | Arg  | Ala  | Lys  |
|    | 127 | Ser | Met | Ala  | Cys  | Arg  | Ala  | Lys  | Lys  | Ser  |
|    | 128 | Gln | Thr | Asp  | Pro  | Met  | Ser  | Ala  | Thr  | Asp  |

44

PCT/EP01/11213

| 130 | Arg | Gln* | Gln | Gly | Glu | Gln | His | Lys  | Asp |
|-----|-----|------|-----|-----|-----|-----|-----|------|-----|
| 132 | Tyr | Ala  | Trp | Lys | Thr | Leu | Ser | Leu  | Ile |
| 55  | Ile |      |     |     |     |     |     | Val° |     |
| 98  | Lys |      |     |     |     |     |     | Asn° |     |

5

WO 03/029462

\*These glutamine residues were encoded by amber stop codons.

\*These amino acid substitutions arose due to random mutations.

# 10 Example 5: Measurement of the affinity of the hNGAL muteins for Tear Lipocalin

For the detection of binding in an ELISA (Enzyme-linked Immunosorbent Assay) the wells of a microtiter plate (Micro Test III Flexible Assay Plate; Falcon) were filled each with 15 100 µl of a 20 mg/ml solution HSA in PBST and were incubated for 1 h at room temperature (RT). After washing three times with PBST, 50  $\mu$ l of a 1  $\mu$ M solution of the purified fusion protein of the hNGAL mutein TlpcA and of hNGAL from Example 3 were filled into the wells such that and the protein was 20 immobilized via complex formation between the abd and HSA. After one hour the solution was removed and washed three times with PBST. Then a dilution series in PBST was prepared of a conjugate of Tear lipocalin and biotin in PBST, starting from 140 µg/ml, (Example 3), followed by 1 hour incubation at 25 RT. After washing three times with PBST, avidin-alkaline phosphate conjugate (Sigma), diluted 1:10000 with PBST, was filled into the wells. Incubation was performed for 1 hour at RT and followed by washing two times with PBST and two times with PBS. Detection of the Tear lipocalin bound to the 30 via immobilized hNGAL muteins was thus accomplished hydrolysis of p-nitrophenyl phosphate, catalyzed by alkaline phosphatase. For this purpose, 100 µl of a solution of 0.5 mg/ml p-nitrophenyl phosphate (Amresco) in AP-buffer (100 mM NaCl, 5 mM MgCl<sub>2</sub>, 100 mM Tris/HCl pH 8.8) were filled 35 into the wells and the product formation was monitored by

45

measuring the absorption at 405 nm in a SpectraMax 250 photometer (Molecular Devices).

#### Claims

5

10

20

25

WO 03/029462

1. Method for generating a mutein of a protein selected from the group consisting of human neutrophil gelatinaseassociated lipocalin (hNGAL), rat  $\alpha_2$ -microglobulin-related protein (A2m) and mouse 24p3/uterocalin (24p3), said mutein having detectable affinity to a given target, comprising the steps of:

46

PCT/EP01/11213

- (a) subjecting the protein to mutagenesis at one or more of the sequence positions which correspond to the sequence positions 33 to 54, 66 to 83, 94 to 106, and 123 to 136 of hNGAL, resulting in a plurality of muteins of the protein; and
- (b) enriching resulting muteins having binding affinity for a given target from the plurality of muteins by selection 15 and/or isolating said mutein.
  - 2. Method according to claim 1, wherein the protein is subjected to mutagenesis at one or more of the sequence positions which correspond to the sequence positions 40 to 50, 70 to 79, 101 to 103, and 125 to 132 of hNGAL.
  - 3. Method according to claim 1 or 2, wherein the protein is subjected to mutagenesis at one or more of the sequence positions which correspond to the sequence positions 40, 42, 44, 46, 47, 49, 50, 70, 72, 73, 77, 79, 101, 102, 103, 125, 127, 128, 130, and 132 of hNGAL.
- 4. Method according to any of claims 1 to 3, wherein a nucleic acid coding for the plurality of muteins of the 30 protein, which nucleic acid results from mutagenesis, operably fused at the 3' end with a gene coding for the coat protein pIII of a filamentous bacteriophage of the M13-family or for a fragment of this coat protein, in order to select at least one mutein for the binding of the given target. 35

5

10

25

35

5. Mutein of human neutrophil gelatinase-associated lipocalin (hNGAL), rat  $\alpha_2$ -microglobulin-related protein (A2m) or mouse 24p3/uterocalin (24p3) having detectable binding affinity to a given target, obtainable by the method of any of claims 1 to 4.

47

PCT/EP01/11213

- 6. Mutein of hNGAL according to claim 5, wherein Cys87 is substituted and/or wherein the mutein carries one or more of the amino acid substitution Glu28 -> His, Thr145 -> Ala compared to hNGAL.
- 7. Mutein of hNGAL according to claim 5 having the amino acid sequence of SEQ ID NO.12.
- 8. Mutein according to any of claims 5 to 7, which is conjugated to a label selected from the group consisting of an organic molecule, an enzyme label, radioactive label, fluorescent label, chromogenic label, luminescent label, a hapten, digoxigenin, biotin, metal complexes, metals, and colloidal gold.
  - 9. Fusion protein comprising a mutein of hNGAL, A2m or 24p3 according to any of claims 5 to 8, wherein an enzyme, a protein or a protein domain, a peptide, a signal sequence and/or an affinity tag is operably fused to the amino terminus or the carboxy terminus of the mutein.
- 10. Nucleic acid molecule comprising a sequence encoding a mutein of hNGAL, A2m or 24p3 or a fusion protein thereof according to any of claims 5 to 9.
  - 11. Pharmaceutical composition comprising a mutein of hNGAL, A2m or 24p3 or a fusion protein thereof according to any of claims 5 to 9 and a pharmaceutically acceptable carrier.

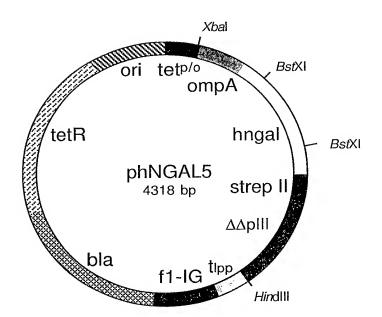
48

- 12. Method for producing a mutein of hNGAL, A2m or 24p3 or a fusion protein thereof according to any of claims 5 to 9, wherein the mutein or the fusion protein is produced starting from the nucleic acid encoding the mutein by means of genetic engineering methods in a bacterial or eukaryotic host organism and is isolated from this host organism or its culture.
- 13. Use of a mutein of hNGAL, A2m or 24p3 or a fusion protein thereof according to any of claims 5 to 9 for the detection of a given target, comprising the steps of contacting the mutein with a sample suspected of containing the given target under suitable conditions, thereby allowing formation of a complex between the mutein and the given target, and determining the complexed mutein by a suitable signal.
  - 14. Use of claim 13, wherein the given target is a protein or protein domain, a peptide, a nucleic acid molecule, an organic molecule or a metal complex and the detection is carried out for validation of the protein as pharmacological drug target.

20

5

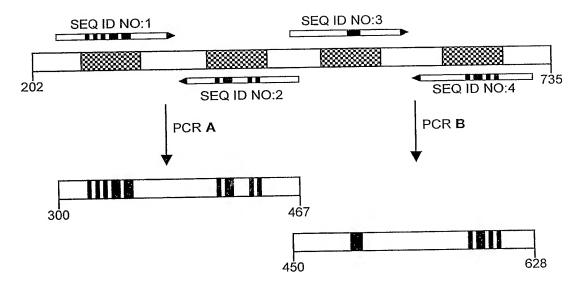
Figure 1



47

Figure 2

## 1. PCR



## 2. PCR

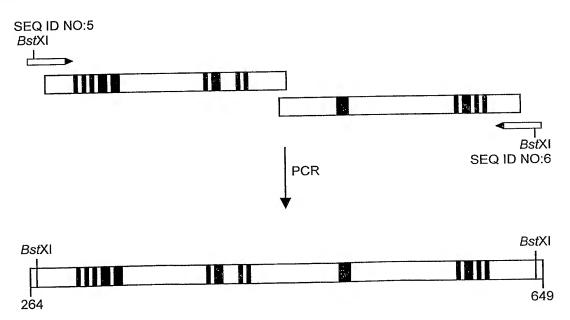


Figure 3

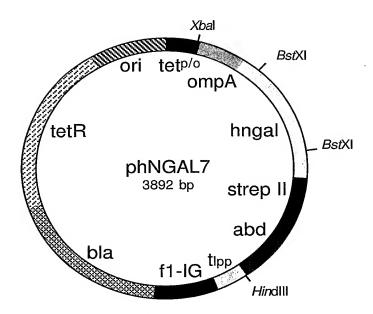


Figure 4

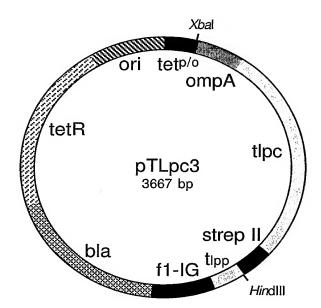
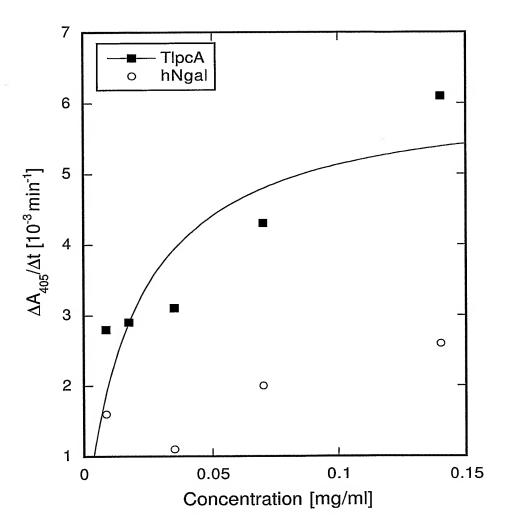


Figure 5



#### Sequence listing

```
<110> Pieris Proteolab AG
<120> Muteins of human neutrophil gelatinase-assiociated lipocalin and related
proteins
<160> 12
<210> 1
<211> 71
<212> DNA
<213> artificial sequence
<220>
<223> Primer
<400> 1
                                                           50
GGTAGGTCTC GCAGGGAATN NKATTNNKAG ANNKGACNNK NNKCCGNNKN
                                                           71
NKATGTATGC CACCATCTAT G
<210> 2
<211> 77
<212> DNA
<213> artificial sequence
<220>
<223> Primer
<400> 2
GGCTGGGAAC CTGGAACAAA AGTCCTGATM NNGTAMNNAC ACTTCTTMNN
                                                           50
MNNAAAMNNG ACGGAGGTGA CATTGTA
                                                           77
<210> 3
<211> 79
<212> DNA
<213> artificial sequence
<220>
<223> Primer
<400> 3
TGTTCCAGGT TCCCAGCCAG GCGAGTTCAC GCTGGGCAAC ATTAAGAGTT
                                                           50
ACNNKNNKNN KACGAGTTAC CTCGTCCGA
                                                           79
<210> 4
<211> 77
<212> DNA
<213> artificial sequence
<220>
<223> Primer
<400> 4
```

```
CCTTGGTTCT CCCGTAGATG GTAATCTTGA AMNNCTCMNN GTTMNNMNNA
                                                           77
ACMNNCTTAA AGAACACCAT AGCATGC
<210> 5
<211> 54
<212> DNA
<213> artificial sequence
<220>
<223> Primer
<400> 5
CTTCCAGGAC AACCAATTCC ATGGGAAGTG GTATGTGGTA GGTCTCGCAG
                                                           50
                                                           54
<210> 6
<211> 39
<212> DNA
<213> artificial sequence
<220>
<223> Primer
<400> 6
CCTTTAGTTC CGAAGCCAGC TCCTTGGTTC TCCCGTAGA
                                                           39
<210> 7
<211> 1231
<212> DNA
<213> fragment of the plasmid pHNGAL5
<220>
<221> sig_peptide
<222> (22) ... (84)
<220>
<221> mat_peptide
<222> (85)...(1221)
<223> fusion protein of modified hNGAL, Strep-Tag II and fragment of phage coat
protein pIII
<220>
<221> CDS
<222> (85)...(618)
<223> mature hNGAL
<220>
<221> CDS
<222> (619)...(648)
<223> Strep-Tag II affinity tag
<220>
<221> CDS
<222> (649)...(651)
<223> amber stop codon
<220>
```

<221> CDS

<222> (652)...(1221)

<223> amino acids 217-406 of coat protein pIII

<400> 7

| TCTAGATAACG | AGGGCAAAA A                       |           | AAG ACA GO<br>Lys Thr Al |  | 45  |
|-------------|-----------------------------------|-----------|--------------------------|--|-----|
|             | CTG GCT GGT<br>Leu Ala Gly<br>-10 | Phe Ala ' |                          |  | 90  |
|             | GAC CTG ATC<br>Asp Leu Ile        |           |                          |  | 135 |
|             | AAC TTC CAG<br>Asn Phe Gln        |           |                          |  | 180 |
|             | CTC GCA GGG<br>Leu Ala Gly        |           |                          |  | 225 |
|             | ATG TAT GCC<br>Met Tyr Ala        |           |                          |  | 270 |
|             | GTC ACC TCC<br>Val Thr Ser        |           |                          |  | 315 |
|             | AGG ACT TTT<br>Arg Thr Phe        |           |                          |  | 360 |
|             | AAC ATT AAG<br>Asn Ile Lys        |           |                          |  | 405 |
|             | GTG AGC ACC<br>Val Ser Thr        |           |                          |  | 450 |
|             | GTT TCT CAA<br>Val Ser Gln        |           |                          |  | 495 |
|             | ACC AAG GAG<br>Thr Lys Glu        |           |                          |  | 540 |
|             | TCT AAA TCT<br>Ser Lys Ser        |           |                          |  | 585 |
|             | CCA ATC GAC<br>Pro Ile Asp        |           |                          |  | 630 |

3/11

|       | CG CAG<br>ro Gln<br>185  |       |     |  |  |  |  |  | 675  |
|-------|--------------------------|-------|-----|--|--|--|--|--|------|
|       | GC GGC<br>ly Gly<br>200  |       |     |  |  |  |  |  | 720  |
|       | GT GGC<br>ly Gly<br>215  |       |     |  |  |  |  |  | 765  |
|       | GT GAT<br>ly Asp<br>230  |       |     |  |  |  |  |  | 810  |
|       | TG ACC<br>let Thr<br>245 |       |     |  |  |  |  |  | 855  |
|       | GC AAA<br>ly Lys<br>260  |       |     |  |  |  |  |  | 900  |
|       | GT TTC<br>ly Phe<br>275  |       |     |  |  |  |  |  | 945  |
|       | CT GGT<br>hr Gly<br>290  |       |     |  |  |  |  |  | 990  |
|       | AC GGT<br>sp Gly<br>305  |       |     |  |  |  |  |  | 1035 |
|       | CT TCC<br>ro Ser<br>320  |       |     |  |  |  |  |  | 1080 |
|       | CT GGT<br>la Gly<br>335  |       |     |  |  |  |  |  | 1125 |
|       | TA TTC<br>eu Phe<br>350  |       |     |  |  |  |  |  | 1170 |
|       | TG TAT<br>let Tyr<br>365 |       |     |  |  |  |  |  | 1215 |
| Glu S | CT TAAS                  | raago | CTT |  |  |  |  |  | 1231 |

<210> 8

<sup>&</sup>lt;211> 1231

<sup>&</sup>lt;212> DNA

<sup>&</sup>lt;213> fragment of the plasmid phNGAL3

```
<220>
<221> sig_peptide
<222> (22)...(84)
<220>
<221> mat_peptide
<222> (85)...(1221)
<223> fusion protein of hNGAL, Strep-Tag II and fragment of phage coat protein
<220>
<221> CDS
<222> (85)...(618)
<223> mature hNGAL
<220>
<221> CDS
<222> (619)...(648)
<223> Strep-Tag II affinity tag
<220>
<221> CDS
<222> (649)...(651)
<223> amber stop codon
<220>
<221> CDS
<222> (652)...(1221)
<223> amino acids 217-406 of coat protein pIII
<400> 8
TCTAGATAACG AGGGCAAAA A ATG AAA AAG ACA GCT ATC GCG ATT
                         Met Lys Lys Thr Ala Ile Ala Ile
                         -21 -20
GCA GTG GCA CTG GCT GGT TTC GCT ACC GTA GCG CAG GCC CAG GAC
Ala Val Ala Leu Ala Gly Phe Ala Thr Val Ala Gln Ala Gln Asp
            -10
                                -5
                                                  -1
TCC ACC TCA GAC CTG ATC CCA GCC CCA CCT CTG AGC AAG GTC CCT
                                                              135
Ser Thr Ser Asp Leu Ile Pro Ala Pro Pro Leu Ser Lys Val Pro
                             1.0
CTG CAG CAG AAC TTC CAG GAC AAC CAA TTC CAG GGG AAG TGG TAT
                                                              180
Leu Gln Gln Asn Phe Gln Asp Asn Gln Phe Gln Gly Lys Trp Tyr
         20
                             25
CTG GTA GGT CTC GCA GGG AAT GCA ATT CTC AGA GAA GAC AAA GAC
Val Val Gly Leu Ala Gly Asn Ala Ile Leu Arg Glu Asp Lys Asp
        35
CCG CAA AAG ATG TAT GCC ACC ATC TAT GAG CTG AAA GAA GAC AAG
Pro Gln Lys Met Tyr Ala Thr Ile Tyr Glu Leu Lys Glu Asp Lys
        50
AGC TAC AAT GTC ACC TCC GTC CTG TTT AGG AAA AAG AAG TGT GAC
Ser Tyr Asn Val Thr Ser Val Leu Phe Arg Lys Lys Cys Asp
```

65 70 75

|     |     |     | AGG<br>Arg |     |     |     |     |     |     |     |     |     |     |     | 360  |
|-----|-----|-----|------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|
|     |     |     | AAC<br>Asn |     |     |     |     |     |     |     |     |     |     |     | 405  |
|     |     |     | GTG<br>Val |     |     |     |     |     |     |     |     |     |     |     | 450  |
|     |     |     | GTT<br>Val |     |     |     |     |     |     |     |     |     |     |     | 495  |
|     |     |     | ACC<br>Thr |     |     |     |     |     |     |     |     |     |     |     | 540  |
|     |     |     | TCC<br>Ser |     |     |     |     |     |     |     |     |     |     |     | 585  |
|     |     |     | CCA<br>Pro |     |     |     |     |     |     |     |     |     |     |     | 630  |
|     |     |     | TTC<br>Phe |     |     |     |     |     |     |     |     |     |     |     | 675  |
|     |     |     | GGC<br>Gly |     |     |     |     |     |     |     |     |     |     |     | 720  |
|     |     |     | GGC<br>Gly |     |     |     |     |     |     |     |     |     |     |     | 765  |
|     |     |     | TTT<br>Phe |     |     |     |     |     |     |     |     |     |     |     | 810  |
|     |     |     | GAA<br>Glu |     |     |     |     |     |     |     |     |     |     |     | 855  |
|     |     |     | CTT<br>Leu |     |     |     |     |     |     |     |     |     |     |     | 900  |
|     | _   |     | ATT<br>Ile |     |     |     |     |     |     |     |     |     |     |     | 945  |
|     |     |     | GAT<br>Asp |     |     |     |     |     |     |     |     |     |     |     | 990  |
| GGT | GAC | GGT | GAT        | AAT | TCA | CCT | TTA | ATG | AAT | AAT | TTC | CGT | CAA | TAT | 1035 |

6/11

```
Gly Asp Gly Asp Asn Ser Pro Leu Met Asn Asn Phe Arg Gln Tyr
                            310
TTA CCT TCC CTC CCT CAA TCG GTT GAA TGT CGC CCT TTT GTC TTT
                                                              1080
Leu Pro Ser Leu Pro Gln Ser Val Glu Cys Arg Pro Phe Val Phe
                            325
GGC GCT GGT AAA CCA TAT GAA TTT TCT ATT GAT TGT GAC AAA ATA
                                                              1125
Gly Ala Gly Lys Pro Tyr Glu Phe Ser Ile Asp Cys Asp Lys Ile
                            340
AAC TTA TTC CGT GGT GTC TTT GCG TTT CTT TTA TAT GTT GCC ACC
                                                              1170
Asn Leu Phe Arg Gly Val Phe Ala Phe Leu Leu Tyr Val Ala Thr
                            355
TTT ATG TAT GTA TTT TCT ACG TTT GCT AAC ATA CTG CGT AAT AAG
                                                              1215
Phe Met Tyr Val Phe Ser Thr Phe Ala Asn Ile Leu Arg Asn Lys
        365
                            370
GAG TCT TAATAAGCTT
                                                              1231
Glu Ser
    377
<210> 9
<211> 805
<212> DNA
<213> fragment of the plasmid pHNGAL7
<220>
<221> sig peptide
<222> (22) ... (84)
<220>
<221> mat_peptide
<222> (85)...(795)
<223> fusion protein of modified hNGAL, Strep-Tag II and albumin binding domain
<220>
<221> CDS
<222> (85)...(618)
<223> mature hNGAL
<220>
<221> CDS
<222> (619)...(648)
<223> Strep-Tag II affinity tag
<220>
<221> CDS
<222> (649)...(795)
<223> albumin binding domain of Protein G
<400> 9
TCTAGATAAC GAGGGCAAAA A ATG AAA AAG ACA GCT ATC GCG ATT
                                                              45
                         Met Lys Lys Thr Ala Ile Ala Ile
                         -21 -20
GCA GTG GCA CTG GCT GGT TTC GCT ACC GTA GCG CAG GCC CAG GAC
```

| Ala        | Val        | Ala               | Leu<br>-10 | Ala        | Gly        | Phe        | Ala               | Thr<br>-5  | Val        | Ala        | Gln        | Ala<br>-1         | Gln<br>1   | Asp        |     |
|------------|------------|-------------------|------------|------------|------------|------------|-------------------|------------|------------|------------|------------|-------------------|------------|------------|-----|
|            |            |                   |            |            | ATC<br>Ile |            |                   |            |            |            |            |                   |            |            | 135 |
| CTG<br>Leu | CAG<br>Gln | CAG<br>Gln<br>20  | AAC<br>Asn | TTC<br>Phe | CAG<br>Gln | GAC<br>Asp | AAC<br>Asn<br>25  | CAA<br>Gln | TTC<br>Phe | CAG<br>Gln | GGG<br>Gly | AAG<br>Lys<br>30  | TGG<br>Trp | TAT<br>Tyr | 180 |
| CTG<br>Val | GTA<br>Val | GGT<br>Gly<br>35  | CTC<br>Leu | GCA<br>Ala | Gly<br>GGG | AAT<br>Asn | GCA<br>Ala<br>40  | ATT<br>Ile | CTC<br>Leu | AGA<br>Arg | GAA<br>Glu | GAC<br>Asp<br>45  | AAA<br>Lys | GAC<br>Asp | 225 |
| CCG<br>Pro | CAA<br>Gln | AAG<br>Lys<br>50  | ATG<br>Met | TAT<br>Tyr | GCC<br>Ala | ACC<br>Thr | ATC<br>Ile<br>55  | TAT<br>Tyr | GAG<br>Glu | CTG<br>Leu | AAA<br>Lys | GAA<br>Glu<br>60  | GAC<br>Asp | AAG<br>Lys | 270 |
| AGC<br>Ser | TAC<br>Tyr | AAT<br>Asn<br>65  | GTC<br>Val | ACC<br>Thr | TCC<br>Ser | GTC<br>Val | CTG<br>Leu<br>70  | TTT<br>Phe | AGG<br>Arg | AAA<br>Lys | AAG<br>Lys | AAG<br>Lys<br>75  | TGT<br>Cys | GAC<br>Asp | 315 |
| TAC<br>Tyr | TGG<br>Trp | ATC<br>Ile<br>80  | AGG<br>Arg | ACT<br>Thr | TTT<br>Phe | GTT<br>Val | CCA<br>Pro<br>85  | GGT<br>Gly | TGC<br>Cys | CAG<br>Gln | CCC<br>Pro | GGC<br>Gly<br>90  | GAG<br>Glu | TTC<br>Phe | 360 |
| ACG<br>Thr | CTG<br>Leu | GGC<br>Gly<br>95  | AAC<br>Asn | ATT<br>Ile | AAG<br>Lys | AGT<br>Ser | TAC<br>Tyr<br>100 | CCT<br>Pro | GGA<br>Gly | TTA<br>Leu | ACG<br>Thr | AGT<br>Ser<br>105 | TAC<br>Tyr | CTC<br>Leu | 405 |
| GTC<br>Val | CGA<br>Arg | GTG<br>Val<br>110 | GTG<br>Val | AGC<br>Ser | ACC<br>Thr | AAC<br>Asn | TAC<br>Tyr<br>115 | AAC<br>Asn | CAG<br>Gln | CAT<br>His | GCT<br>Ala | ATG<br>Met<br>120 | GTG<br>Val | TTC<br>Phe | 450 |
|            |            |                   |            |            | CAA<br>Gln |            |                   |            |            |            |            |                   |            |            | 495 |
| TAC<br>Tyr | GGG<br>Gly | AGA<br>Arg<br>140 | ACC<br>Thr | AAG<br>Lys | GAG<br>Glu | CTG<br>Leu | CCT<br>Thr<br>145 | TCG<br>Ser | GAA<br>Glu | CTA<br>Leu | Lys        | GAG<br>Glu<br>150 | Asn        | TTC<br>Phe | 540 |
| ATC<br>Ile | CGC<br>Arg | TTC<br>Phe<br>155 | TCC<br>Ser | AAA<br>Lys | TCT<br>Ser | CTG<br>Leu | GGC<br>Gly<br>160 | CTC<br>Leu | CCT<br>Pro | GAA<br>Glu | AAC<br>Asn | CAC<br>His<br>165 | ATC<br>Ile | GTC<br>Val | 585 |
| TTC<br>Phe | CCT<br>Pro | GTC<br>Val<br>170 | CCA<br>Pro | ATC<br>Ile | GAC<br>Asp | CAG<br>Gln | TGT<br>Cys<br>175 | ATC<br>Ile | GAC<br>Asp | GGC<br>Gly | AGC<br>Ser | GCT<br>Ala<br>180 | TGG<br>Trp | TCC<br>Ser | 630 |
| CAC<br>His | CCG<br>Pro | CAG<br>Gln<br>185 | Phe        | GAA<br>Glu | AAA<br>Lys | CCA<br>Pro | GCT<br>Ala<br>190 | AGC<br>Ser | CTG<br>Leu | GCT<br>Ala | GAA<br>Glu | GCT<br>Ala<br>195 | AAA<br>Lys | GTT<br>Val | 675 |
| CTG<br>Leu | GCT<br>Ala | AAC<br>Asn<br>200 | Arg        | GAA<br>Glu | CTG<br>Leu | GAC<br>Asp | AAA<br>Lys<br>205 | TAC<br>Tyr | GGT<br>Gly | GTT<br>Val | TCC<br>Ser | GAC<br>Asp<br>210 | TAC<br>Tyr | TAC<br>Tyr | 720 |
| AAA<br>Lys | AAC<br>Asn | CTC<br>Leu<br>215 | Ile        | AAC<br>Asn | AAC<br>Asn | GCT<br>Ala | AAA<br>Lys<br>220 | ACC<br>Thr | GTT<br>Val | GAA<br>Glu | GGT<br>Gly | GTT<br>Val<br>225 | AAA<br>Lys | GCT<br>Ala | 765 |

805

CTG ATC GAC GAA ATT CTC GCA GCA CTG CCG TAATAAGCTT

Leu Ile Asp Glu Ile Leu Ala Ala Leu Pro

230

<210> 10 <211> 580 <212> DNA <213> fragment of the plasmid pTLpc3 <220> <221> sig\_peptide <222> (22) ... (84) <220> <221> mat\_peptide <222> (85)...(570) <223> fusion protein of modified human Tear Lipocalin and Strep-Tag II <220> <221> CDS <222> (85)...(543) <223> mature human Tear Lipocalin <220> <221> CDS <222> (544)...(570) <223> Strep-Tag II affinity tag <400> 10 TCTAGATAACG AGGGCAAAA A ATG AAA AAG ACA GCT ATC GCG ATT 45 Met Lys Lys Thr Ala Ile Ala Ile -21 -20 GCA GTG GCA CTG GCT GGT TTC GCT ACC GTA GCG CAG GCC GCC TCA Ala Val Ala Leu Ala Gly Phe Ala Thr Val Ala Gln Ala Ala Ser -10 -5 GAC GAG GAG ATT CAG GAT GTG TCA GGG ACG TGG TAT CTG AAG GCC 135 Asp Glu Glu Ile Gln Asp Val Ser Gly Thr Trp Tyr Leu Lys Ala 5 10 ATG ACG GTG GAC AGG GAG TTC CCT GAG ATG AAT CTG GAA TCG GTG 180 Met Thr Val Asp Arg Glu Phe Pro Glu Met Asn Leu Glu Ser Val 20 25 ACA CCC ATG ACC CTC ACG ACC CTG GAA GGG GGC AAC CTG GAA GCC 225 Thr Pro Met Thr Leu Thr Leu Glu Gly Gly Asn Leu Glu Ala 35 AAG GTC ACC ATG CTG ATA AGT GGC CGG TGC CAG GAG GTG AAG GCC 270 Lys Val Thr Met Leu Ile Ser Gly Arg Cys Gln Glu Val Lys Ala 50 GTC CTG GAG AAA ACT GAC GAG CCG GGA AAA TAC ACG GCC GAC GGG 315 Val Leu Glu Lys Thr Asp Glu Pro Gly Lys Tyr Thr Ala Asp Gly 65

GGC AAG CAC GTG GCA TAC ATC ATC AGG TCG CAC GTG AAG GAC CAC 360

Gly Lys His Val Ala Tyr Ile Ile Arg Ser His Val Lys Asp His 80 85 TAC ATC TTT TAC TCT GAG GGC GAG CTC CAC GGG AAG CCG GTC CGA 405 Tyr Ile Phe Tyr Ser Glu Gly Glu Leu His Gly Lys Pro Val Arg 95 1.00 CGG GTG AAG CTC GTG GGC AGA GAC CCC AAG AAC AAC CTG GAA GCC 450 Gly Val Lys Leu Val Gly Arg Asp Pro Lys Asn Asn Leu Glu Ala 110 TTG GAG GAC TTT GAG AAA GCC GCA GGA GCC CGC GGA CTC AGC ACG 495 Leu Glu Asp Phe Glu Lys Ala Ala Gly Ala Arg Gly Leu Ser Thr 125 GAG AGC ATC CTC ATC CCC AGG CAG AGC GAA ACC TGC TCT CCA GGG 540 Glu Ser Ile Leu Ile Pro Arg Gln Ser Glu Thr Cys Ser Pro Gly 140 AGC GCT TGG TCT CAC CCG CAG TTC GAA AAA TAATAAGCTT 580 Ser Ala Trp Ser His Pro Gln Phe Glu Lys 155 <210> 11 <211> 18 <212> DNA <213> artificial sequence <223> Primer <400> 11 18 CCACTCCCTA TCAGTGAT <210> 12 <211> 537 <212> DNA <213> coding sequence of the mutein TlpcA <220> <221> CDS <222> (1)...(537) <223> mutein TlpcA <400> 12 CAG GAC TCC ACC TCA GAC CTG ATC CCA GCC CCA CCT CTG AGC AAG 45 Gln Asp Ser Thr Ser Asp Leu Ile Pro Ala Pro Pro Leu Ser Lys GTC CCT CTG CAG CAG AAC TTC CAG GAC AAC CAA TTC CAT GGG AAG 90 Val Pro Leu Gln Gln Asn Phe Gln Asp Asn Gln Phe His Gly Lys 20 TGG TAT GTG GTA GGT CTC GCA GGG AAT TGT ATT GTG AGA CAG GAC 135 Trp Tyr Val Val Gly Leu Ala Gly Asn Cys Ile Val Arg Gln Asp 35 CTT CTG CCG TCT ATG ATG TAT GCC ACC ATC TAT GAG CTG AAA GAA 180 Leu Leu Pro Ser Met Met Tyr Ala Thr Ile Tyr Glu Leu Lys Glu

|  |  | 50 |  |  | 55 |            |  | 60 |     |
|--|--|----|--|--|----|------------|--|----|-----|
|  |  |    |  |  |    | TTT<br>Phe |  |    | 225 |
|  |  |    |  |  |    | GGT<br>Gly |  |    | 270 |
|  |  |    |  |  |    | GGT<br>Gly |  |    | 315 |
|  |  |    |  |  |    | AAC<br>Asn |  |    | 360 |
|  |  |    |  |  |    | GAG<br>Glu |  |    | 405 |
|  |  |    |  |  |    | TCG<br>Ser |  |    | 450 |
|  |  |    |  |  |    | CTC<br>Leu |  |    | 495 |
|  |  |    |  |  |    | ATC<br>Ile |  |    | 537 |

#### INTERNATIONAL SEARCH REPORT

Interi al Application No

PCT/EP 01/11213 A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12N15/12 C07k C07K14/47 C12N15/62 G01N33/50 A61K38/17 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 C12N C07K G01N A61K Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, SEQUENCE SEARCH C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. 1,2,4,5, χ WO 99 16873 A (SKERRA ARNE; BESTE GERALD; SCHMIDT FRANK; STIBORA THOMAS (DE))
8 April 1999 (1999-04-08) 8-14 cited in the application the whole document BESTE G. ET AL.: "Small antibody-like Α proteins with prescribed ligand specificities derived from the lipocalin fold" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 96, no. 5, 2 March 1999 (1999-03-02). pages 1898-1903, XP002150337 ISSN: 0027-8424 cited in the application the whole document -/--Patent family members are listed in annex. Further documents are listed in the continuation of box C. Special categories of cited documents: \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on priority claim(s) or which is clied to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. \*O\* document referring to an oral disclosure, use, exhibition or other means document published prior to the International filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search

Form PCT/ISA/210 (second sheet) (July 1992)

Name and mailing address of the ISA

19 September 2002

Fax: (+31-70) 340-3016

European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,

30/09/2002

Macchia, G

Authorized officer

### INTERNATIONAL SEARCH REPORT

Inter al Application No
PCT/EP 01/11213

| 0.6        | When Pooling Its Considerate To Be Bell Tradit  | L                     |
|------------|---|-----------------------|
| C.(Continu | ation) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
| Category   | Citation of document, with indication, where appropriate, or the relevant passages  | rolovan to glam, vo.  |
| A          | BUNDGAARD J.R. ET AL.: "Molecular cloning and expression of a cDNA encoding NGAL: a Lipocalin expressed in human neutrophils" BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS, vol. 202, no. 3, 15 August 1994 (1994-08-15), pages 1468-1475, XP002036694 ISSN: 0006-291X page 1472; figure 2 | -                     |
| Α          | PAINE K. AND FLOWER D.R.: "The lipocalin website" BIOCHIMICA ET BIOPHYSICA ACTA, vol. 1482, no. 1-2, 18 October 2000 (2000-10-18), pages 351-352, XP004279087 ISSN: 0167-4838 the whole document  |                       |
| Α          | SKERRA A.: "Lipocalins as a scaffold" BIOCHIMICA ET BIOPHYSICA ACTA, vol. 1482, no. 1-2, 18 October 2000 (2000-10-18), pages 337-350, XP004279086 ISSN: 0167-4838 the whole document  |                       |
| A          | WO 00 75308 A (SKERRA ARNE (DE); SCHLEHUBER STEFFEN (DE)) 14 December 2000 (2000-12-14) cited in the application the whole document   | _                     |
| Α          | SCHLEHUBER S. ET AL.: "A novel type of receptor protein, based on the lipocalin scaffold, with specificity for digoxigenin" JOURNAL OF MOLECULAR BIOLOGY, vol. 297, no. 5, 14 April 2000 (2000-04-14), pages 1105-1120, XP002150338 ISSN: 0022-2836 cited in the application the whole document   |                       |
| А          | WO 96 23879 A (TERRAPIN TECHNOLOGIES, INC. (US); KAUVAR; TRAINER; VILLAR; NAPOLITANO) 8 August 1996 (1996-08-08) page 34 -page 35; example 9C   |                       |
|            | ·   |                       |

### INTERNATIONAL SEARCH REPORT

....:mation on patent family members

interi al Application No PCT/EP 01/11213

| <ul> <li>Patent document<br/>cited in search report</li> </ul> |   | Publication<br>date |                      | Patent family<br>member(s)                           | Publication date                                     |
|--|---|---------------------|----------------------|--|--|
| WO 9916873   | A | 08-04-1999          | DE<br>AU<br>WO<br>EP | 19742706 A1<br>1143799 A<br>9916873 A1<br>1017814 A1 | 15-04-1999<br>23-04-1999<br>08-04-1999<br>12-07-2000 |
| WO 0075308   | A | 14-12-2000          | DE<br>AU<br>WO<br>EP | 19926068 C1<br>5963900 A<br>0075308 A1<br>1181368 A1 | 11-01-2001<br>28-12-2000<br>14-12-2000<br>27-02-2002 |
| WO 9623879   | Α | 08-08-1996          | AU<br>WO             | 5132096 A<br>9623879 A1                              | 21-08-1996<br>08-08-1996                             |